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<b>(54) Title:</b> METHODS FOR ANTI-TUMOR THERAPY <b>(57) Abstract</b> <p>The present invention provides novel methods, pharmaceutical compositions, and articles of manufacture for treating tumors that involve administering an effective amount of a cardiac glycoside, or a pharmaceutical salt thereof, wherein the tumor is selected from the group consisting of mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.</p>		

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## METHODS FOR ANTI-TUMOR THERAPY

5     **Cross Reference**

          This application claims priority to U.S. Provisional Application Serial No.  
60/119,943, filed on February 12, 1999.

10

**Background of the Invention**

          Approximately twenty percent of deaths from all causes in the United States are  
cancer-related. Although chemotherapy is a principal means of cancer treatment, the  
15     rate at which effective new drugs have become available for use in cancer  
chemotherapy has not increased (Horowitz et al., Journal of Clinical Oncology, Vol. 6,  
No. 2, pp. 308-314 (1988)). Despite many years of promising new therapies, cancer  
remains a major cause of morbidity and mortality (Bailar et al., N. Engl. J. Med.  
336:1569-1574, 1997). Accordingly, there is a substantial need for new drugs which are  
20     effective in inhibiting the growth of tumors.

          Cardiac glycosides are potent and highly selective inhibitors of the active  
transport of  $\text{Na}^+$  and  $\text{K}^+$  across cell membranes and act by binding to the alpha subunit  
of the  $\text{Na}^+ \text{K}^+$ -ATPase. The affinity of the alpha subunit for cardiac glycosides varies  
among species and among the three known mammalian alpha subunit isoforms, each of  
25     which is encoded by a separate gene. (Goodman and Gilman, "The Pharmacological  
Basis of Therapeutics, 9<sup>th</sup> edition, Hardman and Limbird eds, p. 810 (1996)). These  
compounds share a steroid nucleus containing an unsaturated lactone ring at the C17  
position and one or more glycosidic residues at C3, and occur naturally in many plants  
and several toad species, usually acting as venoms or toxins that serve as protection  
30     against predators. (Id).

Cardiac glycosides have been used extensively as therapeutics in the treatment of heart failure. (Goodman and Gilman, "The Pharmacological Basis of Therapeutics, 9<sup>th</sup> edition, Hardman and Limbird eds, p. 810 (1996)). Digitoxin has been the most widely used cardiac glycoside, with a therapeutic plasma concentration of greater than 10 ng/ml (approximately 13 nM). However, digitoxin is toxic at concentrations of above 35 ng/ml (approximately 46 nM) (Id. at 1736). Thus, the therapeutic window for digitoxin in the treatment of heart failure is quite narrow.

Cardiac glycosides have also been shown to inhibit cell proliferation in a wide variety of cell lines *in vitro*. (See for example Kaneda et al., *Planta Med.* 58:429-431 (1992), Gil et al., *J. Natural Products* 58:848-856 (1995), Kitinaka et al., *Chem. Pharm. Bull.* 44(3):615-617 (1996), Baek et al., *Planta Med.* 60(1):26-29 (1994), Zhang et al., *Chinese Medical Journal* 109(6):478-481 (1996), Shiratori, *GANN* 58:521-528 (1967), Doskotch et al., *J. Pharmaceutical Sciences* 61(4):570-573 (1972), Kupchan et al., *J. Med. Chem* 17:803 (1964), Kupchan et al., *Science* 146:1685 (1964), Hyun et al., *Planta Med.* 61:294-295 (1995), Al-Said et al., *Phytochemistry* 27(10):3245-3250 (1988)). This *in vitro* activity was routinely shown to be non-selective, as the proliferation of almost all tumor cell lines was inhibited, and occurred at similar (generally toxic) doses across the different cell lines. Studies have also suggested that cardiac glycosides can be used to inhibit the export of leaderless proteins from cells (U.S. Patent No. 5,891,855), as well as inhibiting inflammatory cytokine secretion (U.S. Patent No. 5,545,623), but only at toxic doses

Based on these *in vitro* findings, numerous cardiac glycosides have been screened for their ability to inhibit *in vivo* tumor growth. (See, for example, LeWinn, *The Lancet* June 2, 1979, p. 1196-1197; Stenkvis et al., *The Lancet* March 10, 1979 p.

563; Stenkvist et al., The Lancet February 25, 1982, p. 484.) However, the results of these *in vivo* studies have uniformly proven disappointing, as any therapeutic activity was found only at toxic doses. (Repke et al., Anti-Cancer Drug Design 10:177-187 (1995)). Based on all of these studies, the use of cardiac glycosides as effective anti-  
5 neoplastic agents would be entirely unexpected.

### Summary of the Invention

The present invention is based on the entirely unexpected finding that cardiac glycosides can act as effective anti-neoplastic agents. In one aspect, the present  
10 invention provides novel methods for treating tumors that involve administering an effective amount of a cardiac glycoside, or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof, to a patient in need of such treatment, wherein the tumor is selected from the group consisting of mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.

15 In further aspects, the present invention provides a pharmaceutical composition comprising an effective amount for treating tumors of a compound according to the general formulas hereinafter disclosed, or a pharmaceutical salt thereof, in a suitable carrier, and an article of manufacture comprising packaging material and the above pharmaceutical composition.

20 In preferred embodiments of the invention, specific compounds and pharmaceutical compositions and articles of manufacture comprising the specific compounds for treating tumors.

### Brief Description of the Figures

Figure 1 is a graph showing the IC<sub>50</sub> determinations for a series of compounds applied to lung or colon tumors, or sarcomas.

Figure 2 is a dose response graph showing the percent inhibitory effect of CP7079 on a panel of tumor and normal cells.

- 5 Figure 3 is a graph comparatively showing the percent inhibitory effect of CP7079 vs. platinol and taxol on a panel of tumor and normal cells.

### Detailed Description of the Invention

All references cited herein are incorporated by reference in their entirety.

- 10 As used herein, the term "cardiac glycoside" includes cardenolides, bufadienolides and withanolides, with a steroid nucleus and an unsaturated lactone ring (which can comprise a 5 or 6 membered ring) in either the glycoside or the free aglycone form. (See for example, Blickenstaff, in Anti-Tumor Steroids, Academic Press, San Diego (1992), pp. 271-281.) Non-limiting examples of such cardiac
- 15 glycosides are disclosed in the following references, each of which are herein incorporated by reference in their entirety: U.S. Patent Nos. 4,067,960; 3,531,462; 3,907,775; 3,907,776; 3,843,628; 3,857,832; 4,001,402; 3,939,156; 3,514,441; 3,839,317; 4,060,607; 4,003,998; 4,555,504; 3,947,404; 3,949,074; 4,133,949; 3,752,803; 3,914,213; 4,198,391; and WO 97/45216.

- 20 As used herein, the term "effective amount" means a dosage sufficient to produce a desired result. The desired result can be subjective or objective improvement in the recipient of the dosage, a decrease in tumor size, time to progression of disease, and/or survival.

As used herein the term "mesothelioma" is used to refer to a neoplasm derived from the cells lining the pleura, pericardium, or peritoneum, including but not limited to lung mesotheliomas.

As used herein the term "sarcoma" refers to tumors of mesenchymal origin, including but not limited to leiomyosarcomas, malignant fibrous histiocyoma, Ewing sarcoma, fibrosarcomas, chondrosarcomas, osteosarcomas, liposarcomas, rhabdomyosarcomas, hemangiocyomas, and myxosarcomas.

As used herein the term "carcinoma" is used to refer to a neoplasm derived from epithelial cells.

As used herein the term "ovarian carcinoma" refers to neoplasms derived from ovarian cells of epithelial origin, including but not limited to ovarian papillary serous cystadenoma, ovarian endometroid carcinoma, mucinous, clear cell and Brenner epithelial tumors;

As used herein the term "lung carcinoma" refers to neoplasms derived from lung cells including but not limited to squamous cell carcinomas, adenocarcinomas, oat cell carcinomas, carcinoid tumors, giant cell tumors, mucoepidermoid tumors, and adenoidcystic carcinomas.

As used herein the term "kidney carcinoma" refers to neoplasms derived from kidney cells including but not limited to renal cell carcinomas, Wilm's tumor, and hamartoma.

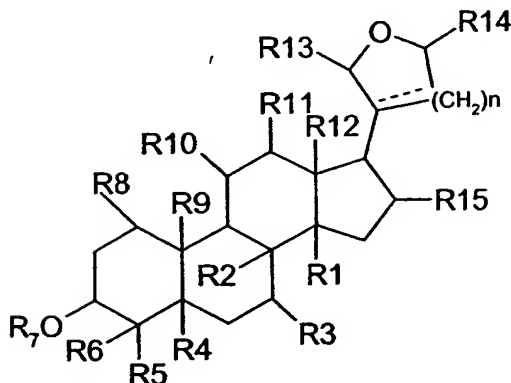
As used herein the term "germ cell tumors" refers to neoplasms including, but not limited to, dysgerminomas, and yolk sac tumors.

As used herein the term "stromal cell tumors" refers to neoplasms including, but not limited to granulosa cell, thecoma, and Sertoli-Leydig tumors.



In one aspect, the present invention provides new methods for treating tumors that involve administering an effective amount of a cardiac glycoside, or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof, to a patient in need of such treatment, wherein the tumor is selected from the group consisting of mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.

In a preferred embodiment, the compound comprises the general formula



wherein  $n = 1$  or  $2$ ,  
a dashed line indicates an optional double bond,

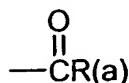
**R2, R4, R5, R6, R12** are selected from the group consisting of  $-H$ ,  $-OH$ ,  $OR'$  or  $COR'$ , where  $R'$  is selected from the group consisting of  $H$ , or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or  $COOR''$ , wherein  $R''$  is selected from the group consisting of  $H$  and optionally substituted alkyl

**R3, R8, R11, R13 and R14** are each selected from the group consisting of  $-H$ ,  $-OH$ ,  $=O$ ,  $OR'$  or  $COR'$ , where  $R'$  is selected from the group consisting of  $H$ , or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or  $COOR'''$ , wherein  $R'''$  is selected from the group consisting of  $H$  and optionally substituted alkyl

or **R2 and R3** together with the carbon atoms to which they are attached form an epoxide ring;

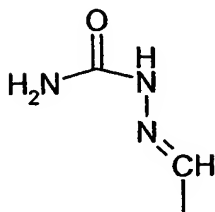
**R7** is  $H$ , or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or

a glycosidic group consisting of one or more optionally substituted sugar residues connected by glycosidic bonds; or



where R(a) is selected from the group consisting of H, or an optionally substituted alkyl, alkoxy, or alkene groups,

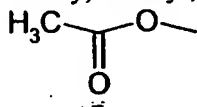
- 5 **R<sup>9</sup>** is selected from the group consisting of optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; OH, H, =O, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, OR' or COR', where R' is selected from the group consisting of H, or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or COOR'', wherein R'' is selected from the group consisting of H and optionally substituted  
10 alkyl;



and

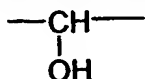


- 15 **R10** is selected from the group consisting of H, OH, COOH, HNC=O, R''''NC=O, and COOR''', wherein R''' represents H or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl group;
- 20 **R15** is selected from the group consisting of H, OH, optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; and



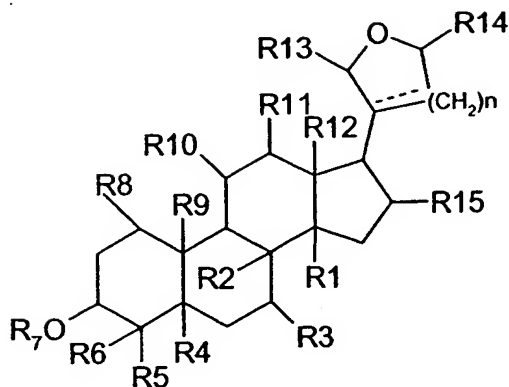
**R1** is H or OR16, where R16 is H, or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl, or

- 25 **R16 and R9 together represent**



or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

In an even more preferred embodiment, the compounds are of the general formula



wherein  $n = 1$  or  $2$ ,  
a dashed line indicates an optional double bond,

**R2, R4, and R8** are selected from the group consisting of  $-H$ ,  $-OH$ , and  $OR'$ , where  $R'$  consists of optionally substituted lower alkyl;

**R3, R13 and R14** are each selected from the group consisting of  $-H$ ,  $-OH$ ,  $=O$ ,  $OR''$ , where  $R''$  consists of optionally substituted lower alkyl;

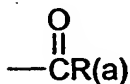
or **R2 and R3** together with the carbon atoms to which they are attached form an epoxide ring;

**R11** is selected from the group consisting of  $-H$ ,  $-OH$ , and  $=O$ ;

**R5, R6, and R12** are selected from the group consisting of  $H$ , and optionally substituted alkyl, alkoxy, or alkenyl groups;

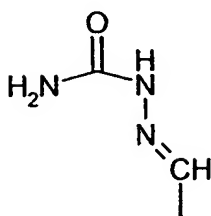
**R7** is  $H$ , or an optionally substituted alkyl, alkoxy, or alkenyl groups, or

a glycosidic group consisting of one or more optionally substituted sugar residues connected by glycosidic bonds; or



where **R(a)** is selected from the group consisting of  $H$ , or an optionally substituted alkyl, alkoxy, and alkene groups,

**R9** is selected from the group consisting of optionally substituted alkyl, alkoxy and alkenyl groups;  $OH$ ,  $H$ ,  $-N-OH$ ,  $NH-NH_2-CONH_2$ ,

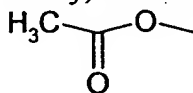


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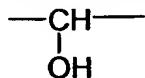


5 R10 is selected from the group consisting of H, OH, COOH, HNC=O, R'''NC=O, and COOR''', wherein R''' represents H or an optionally substituted alkyl group;

R15 is selected from the group consisting of H, OH, an optionally substituted alkyl, alkoxy, and alkenyl groups; and



10 R1 is H or OR16, where R16 is H, or R16 and R9 together represent



or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

Unless indicated otherwise, the alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl, and glycosidic group substituents herein can be optionally substituted with at least one group independently selected from hydroxyl, carboxyl, amine, halogen, or phenyl groups. Additionally, the glycosidic groups can be optionally substituted with at least one group independently selected from alkyl, alkoxy, and alkenyl groups.

By "alkyl", "lower alkyl", and "(C<sub>1</sub>-C<sub>10</sub>)-alkyl" in the present invention is meant straight or branched chain alkyl groups having 1-10 carbon atoms, such as preferably C<sub>1</sub>-C<sub>6</sub>, methyl, ethyl, n-propyl, isopropyl, n-butyl, sec-butyl, tert-butyl, pentyl, 2-pentyl, isopentyl, neopentyl, hexyl, 2-hexyl, 3-hexyl, decyl, octyl, and 3-methylpentyl. These groups may be substituted, for instance with halo, alkyl, amino,

alkylamino, dialkylamino, hydroxy, alkoxy and the like. Examples include chloromethyl, 2-amino ethyl, and 3-dimethyl-aminopropyl.

By "alkenyl", "lower alkenyl" and (C<sub>2</sub>-C<sub>10</sub>)alkenyl is meant straight or branched chain alkyl groups having 1-10 carbon atoms and having one or two nonadjacent  
5 double bonds. Examples of alkenyls include, but are not limited to, 3-butenyl and 1-methyl-3-pentenyl.

By "alkynyl", "lower alkynyl" and (C<sub>2</sub>-C<sub>10</sub>)alkynyl is meant straight or branched chain alkyl groups having 1-10 carbon atoms and having a triple bond. Typical alkynyl groups include 2-propynyl and 1,1-dimethyl-3-butynyl. Substituted  
10 alkenyl and alkynyl groups include 4,4-dibromo-2-pentenyl and 3-amino-5-hexynyl.

By "alkoxy", "lower alkoxy" or "(C<sub>1</sub>-C<sub>10</sub>)-alkoxy" in the present invention is meant straight or branched chain alkoxy groups having 1-10 carbon atoms, such as, for example, methoxy, ethoxy, propoxy, isopropoxy, n-butoxy, sec-butoxy, tert-butoxy, pentoxy, 2-pentyl, isopentoxy, neopentoxy, hexoxy, 2-hexoxy, 3-hexoxy, and 3-  
15 methylpentoxy.

The term "alkanoyl" means an alkyl group bonded through a carbonyl moiety. Examples include acetyl and pentanoyl. "Aminoalkanoyl" means the alkyl group is substituted with an amino group. Examples include aminoacetyl and 3-aminohexanoyl. "Alkylaminoalkanoyl" means an aminoalkanoyl group wherein the amine is substituted  
20 with a C<sub>1</sub>-C<sub>10</sub> alkyl group, and includes methylaminoacetyl and 4-(isobutylamino)-octanoyl. "Dialkylaminoalkanyl" means an N,N-di-substituted aminoalkanoyl group such as diisopropylaminoacetyl.

By halogen in the present invention is meant fluorine, bromine, chlorine, and iodine.

The term "aryl" means an unsubstituted aromatic carbocyclic group having a single ring (e.g., phenyl), multiple rings (e.g., biphenyl), or multiple condensed rings in which at least one is aromatic (e.g., 1,2,3,4-tetrahydronaphthyl, naphthyl, anthryl, or phenanthryl). The term "substituted aryl" means, unless stated otherwise, an aryl  
5 substituted by 1 to 4 substituents selected from alkyl, O-alkyl and S-alkyl, -OH, -SH, -CN, halogen, 1,3-dioxolanyl, -CF<sub>3</sub>, -NO<sub>2</sub>, -NH<sub>2</sub>, -NHCH<sub>3</sub>, -N(CH<sub>3</sub>)<sub>2</sub>, -NHCO-alkyl, -(CH<sub>2</sub>)<sub>m</sub>CO<sub>2</sub>H, -(CH<sub>2</sub>)<sub>m</sub>CO<sub>2</sub>-alkyl, -(CH<sub>2</sub>)<sub>m</sub>SO<sub>3</sub>H, -NH alkyl, -N(alkyl)<sub>2</sub>, -(CH<sub>2</sub>)<sub>m</sub>PO<sub>3</sub>H<sub>2</sub>, -(CH<sub>2</sub>)<sub>m</sub>PO<sub>3</sub>(alkyl)<sub>2</sub>, -(CH<sub>2</sub>)<sub>m</sub>SO<sub>2</sub>NH<sub>2</sub>, and -(CH<sub>2</sub>)<sub>m</sub>SO<sub>2</sub>NH-alkyl wherein alkyl is defined as above and m is 0, 1, 2, or 3.

10 By "heteroaryl" is meant one or more aromatic ring systems of 5-, 6-, or 7-members containing at least one and up to four heteroatoms selected from nitrogen, oxygen, or sulfur. Such heteroaryl groups include, for example, thienyl, furanyl, thiazolyl, imidazolyl, (is)oxazolyl, tetrazolyl, pyridyl, pyridonyl, pyrimidinyl, pyrazole, (iso)quinolinyl, naphthyridinyl, phthalimidyl, benzimidazolyl, benzoxazolyl. A  
15 "substituted heteroaryl" group can be substituted with one, two, three, or four of the groups mentioned above for "substituted aryl", such as 2,3,4,6-tetrachloropyridyl and 2-methoxy-3-trifluoromethylthien-4-yl.

A "carbocyclic group" or "cycloalkyl" is a nonaromatic cyclic ring or fused rings having from 3 to 7 ring carbon members. Examples include cyclopropyl,  
20 cyclobutyl, and cycloheptyl. These rings may be substituted with one or more of the substituent groups mentioned above for aryl, for example alkyl, halo, amino, hydroxy, and alkoxy. Typical substituted carbocyclic groups include 2-chlorocyclopropyl, 2,3-diethoxycyclopentyl, and 2,2,4,4-tetrafluorocyclohexyl. The carbocyclic group may contain one or two heteroatoms selected from oxygen, sulfur, and nitrogen, and such

ring systems are referred to as "heterocyclyl" or "heterocyclic". Examples include pyranyl, tetrahydrofuranyl, and dioxanyl. These heterocyclyl groups may be substituted with up to four of the substituent groups mentioned for aryl to give groups such as 3-chloro-2-dioxanyl, and 3,5-dihydroxymorpholino.

5 By "glycosidic group" in the present invention is meant one or more optionally substituted sugar residues connected by glycosidic bonds.

The term "pharmaceutically acceptable salts, esters, amides, and prodrugs" as used herein refers to those carboxylate salts, amino acid addition salts, esters, amides, and prodrugs of the compounds of the present invention which are, within the scope of  
10 sound medical judgment, suitable for use in contact with the tissues of patients without undue toxicity, irritation, allergic response, and the like, commensurate with a reasonable benefit/risk ratio, and effective for their intended use, as well as the zwitterionic forms, where possible, of the compounds of the invention. The term "salts" refers to the relatively non-toxic, inorganic and organic acid addition salts of  
15 compounds of the present invention. These salts can be prepared in situ during the final isolation and purification of the compounds or by separately reacting the purified compound in its free base form with a suitable organic or inorganic acid and isolating the salt thus formed. Representative salts include the hydrobromide, hydrochloride, sulfate, bisulfate, nitrate, acetate, oxalate, valerate, oleate, palmitate, stearate, laurate,  
20 borate, benzoate, lactate, phosphate, tosylate, citrate, maleate, fumarate, succinate, tartrate, naphthylate mesylate, glucoheptonate, lactobionate, and laurylsulphonate salts, and the like. These may include cations based on the alkali and alkaline earth metals, such as sodium, lithium, potassium, calcium, magnesium, and the like, as well as non-toxic ammonium, quaternary ammonium, and amine cations including, but not limited

to ammonium, tetramethylammonium, tetraethylammonium, methylamine, dimethylamine, trimethylamine, triethylamine, ethylamine, and the like. (See, for example, Berge S.M. et al., "Pharmaceutical Salts," *J. Pharm. Sci.*, 1977;66:1-19 which is incorporated herein by reference.)

5        Examples of pharmaceutically acceptable, non-toxic esters of the compounds of this invention include  $C_1$ - $C_6$  alkyl esters, wherein the alkyl group is a straight or branched, substituted or unsubstituted,  $C_5$ - $C_7$  cycloalkyl esters, as well as arylalkyl esters such as benzyl and triphenylmethyl.  $C_1$ - $C_4$  alkyl esters are preferred, such as methyl, ethyl, 2,2,2-trichloroethyl, and tert-butyl. Esters of the compounds of the present invention may be prepared according to conventional methods.

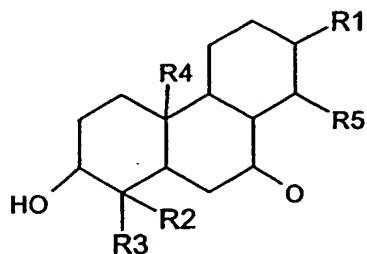
      Examples of pharmaceutically acceptable, non-toxic amides of the compounds of this invention include amides derived from ammonia, primary  $C_1$ - $C_6$  alkyl amines and secondary  $C_1$ - $C_6$  dialkyl amines, wherein the alkyl groups are straight or branched. In the case of secondary amines, the amine may also be in the form of a 5- or 6-  
15    membered heterocycle containing one nitrogen atom. Amides derived from ammonia,  $C_1$ - $C_3$  alkyl primary amines and  $C_1$ - $C_2$  dialkyl secondary amines are preferred. Amides of the compounds of the invention may be prepared according to conventional methods.

      The term "prodrug" refers to compounds that are rapidly transformed *in vivo* to yield the parent compound of the above formulae, for example, by hydrolysis in blood.  
20    A thorough discussion of prodrugs is provided in T. Higuchi and V. Stella, "Pro-drugs as Novel Delivery Systems," Vol. 14 of the A.C.S. Symposium Series, and in *Bioreversible Carriers in Drug Design*, ed. Edward B. Roche, American Pharmaceutical Association and Pergamon Press, 1987, both of which are hereby incorporated by reference.



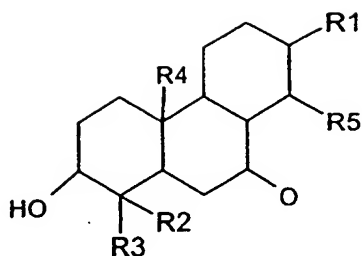
Most preferred compounds to carry out the method of the invention include digitoxin (CP4071), CP 7079, ouabain (CP4255), convallatoxin (CP2575M), oleandrin (CP6963M), oleandrogenin (CP6963MG), bufalin (CP1493M), periplocymarin (CP7918), digoxin (CP4072), strophanthidin oxime (CP7998), strophanthidin acetate (CP7992), strophanthidin semicarbazone (CP7995), strophanthidinic acid lactone acetate (CP7999), strophanthidin (CP7997), emicymarin (CP7590), digoxigenin (CP7501), sarmentoside D (CP7978), sarverogenin (CP7985), sarmentoside A (CP7980), gitoxigenin diacetate (CP7655), ouabagenin (CP7030M), sarmentogenin (CP7973), CP6997, and gitoxigenin (CP7656)

In another aspect, preferred compounds of the instant method comprise the general formula



wherein R1, R2, R3, R4 and R5 are selected from the group consisting of H, =O, OH, optionally substituted alkyl, alkoxy, and alkene groups; -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, HNC=O, R8NC=O, and COOR8, wherein R8 represents H or an optionally substituted alkyl, alkoxy, or alkenyl group; OR6 or COR6, where R6 is selected from the group consisting of H, or optionally substituted alkyl, alkoxy, alkenyl, amino, dialkylamino, aryl, or heteroaryl; or COOR7, wherein R7 is selected from the group consisting of H and optionally substituted alkyl, alkoxy, and alkenyl groups.

In an even more preferred embodiment, the compounds are of the general formula



wherein R1, R2, R3, R4 and R5 are selected from the group consisting of H, =O, OH, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, and optionally substituted alkyl, alkoxy, and alkene groups; or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

5 A most preferred embodiment consists of cassaine sulfate (CP7404).

In further aspects, the present invention provides a pharmaceutical composition comprising an effective amount for treating specified tumors of a compound according to one of the above general formulas, or a pharmaceutical salt thereof, in a suitable carrier, and an article of manufacture comprising packaging material and the above  
10 pharmaceutical composition.

In preferred embodiments of the invention, specific compounds and pharmaceutical compositions and articles of manufacture comprising the specific compounds for treating tumors.

The compounds disclosed herein can be prepared by any of the methods known  
15 in the literature. Non-limiting examples of these methods include those disclosed in U.S. Patent Nos. 3,514,441; 3,531, 462; 3,843, 628; 3,857,832; 3,939,156; 3,949,074; and 4,001,402, all references incorporated by reference herein in their entirety. Alternatively, a number of the compounds are commercially available (for example, Sigma Chemical Co., St. Louis, MO).

20 The present compounds are useful in treating mesotheliomas, sarcomas, carcinomas, stromal cell and germ cell tumors. Examples of specific tumor types that

the compounds may be used to treat include, but are not limited to solid tumors, including ovarian papillary serous cystadenoma and ovarian endometroid carcinoma, lung mesothelioma, malignant fibrous histiocyoma, leiomyosarcoma, Ewing sarcoma, hemangiocyomas. Other ovarian tumors, such as mucinous, clear cell and Brenner  
5 epithelial tumors; germ cell tumors including dysgerminomas and yolk sac tumors; and stromal cell tumors including granulosa cell, thecoma, and Sertoli-Leydig tumors, are also treatable. Other sarcomas treatable with the compounds of the invention include fibrosarcomas, chondrosarcomas, osteosarcomas, liposarcomas, rhabdomyosarcomas, and myxosarcomas.

10 Preferred examples of carcinomas that may be treated with the compounds of the invention include ovarian, kidney, and lung carcinomas.

In another preferred embodiment, the compounds are used to treat ovarian papillary serous cystadenomas, ovarian endometroid carcinomas, mesotheliomas, malignant fibrous histiocyomas (a sarcoma), leiomyosarcomas, hemangiocyomas,  
15 liposarcomas, and Ewing sarcomas.

The instant compounds can be administered individually or in combination, usually in the form of a pharmaceutical composition. Such compositions are prepared in a manner well known in the pharmaceutical art and comprise at least one active compound. Accordingly, the present invention also includes pharmaceutical  
20 compositions comprising as active ingredient certain compounds of the disclosed compounds associated with a pharmaceutically acceptable carrier. The invention further comprises the method of treating susceptible neoplasms using the compositions containing as an active ingredient one or more of the disclosed compounds.

The compounds of the invention can be administered as the sole active pharmaceutical agent, or they can be used in combination with one or more other anti-tumor agents. When administered as a combination, the therapeutic agents can be formulated as separate compositions that are given at the same time or different times,  
5 or the therapeutic agents can be given as a single composition.

The compounds may be made up in a solid form (including granules, powders or suppositories) or in a liquid form (*e.g.*, solutions, suspensions, or emulsions). The compounds of the invention may be applied in a variety of solutions and may be subjected to conventional pharmaceutical operations such as sterilization and/or may  
10 contain conventional adjuvants, such as preservatives, stabilizers, wetting agents, emulsifiers, buffers etc.

For administration, the compounds are ordinarily combined with one or more adjuvants appropriate for the indicated route of administration. The compounds may be admixed with lactose, sucrose, starch powder, cellulose esters of alkanolic acids, stearic  
15 acid, talc, magnesium stearate, magnesium oxide, sodium and calcium salts of phosphoric and sulphuric acids, acacia, gelatin, sodium alginate, polyvinylpyrrolidone, and/or polyvinyl alcohol, and tableted or encapsulated for conventional administration. Alternatively, the compounds of this invention may be dissolved in saline, water, polyethylene glycol, propylene glycol, carboxymethyl cellulose colloidal solutions,  
20 ethanol, corn oil, peanut oil, cottonseed oil, sesame oil, tragacanth gum, and/or various buffers. Other adjuvants and modes of administration are well known in the pharmaceutical art. The carrier or diluent may include time delay material, such as glyceryl monostearate or glyceryl distearate alone or with a wax, or other materials well known in the art.

Pharmaceutical compositions containing the compounds described herein are administered to an individual having a tumor. In therapeutic applications, compositions are administered to a human patient in an amount sufficient to cause regression of the tumor, or at least partially arrest tumorigenesis and metastasis. Amounts effective for this use depend on factors including, but not limited to, the nature of the compound (specific activity, etc.), the manner of administration, the stage and severity of the cancer, the weight and general state of health of the patient, and the judgment of the prescribing physician. The active compounds are effective over a wide dosage range. For example, dosages per day will normally fall within the range of about 1  $\mu\text{g/kg}$  to about 0.8 mg/kg of body weight. In the treatment of adult humans, the range of about 1  $\mu\text{g/kg}$  to about 0.1 mg/kg of body weight, in single or divided doses, is preferred, while 5  $\mu\text{g/kg}$  to about 30  $\mu\text{g/kg}$  is most preferred. However, it will be understood that the amount of the compound actually administered will be determined by a physician, in the light of the relevant circumstances including the condition to be treated, the choice of compound to be administered, the chosen route of administration, the age, weight, and response of the individual patient, disorders affecting the heart, and other specific organ dysfunction. Therefore, the above dosage ranges are not intended to limit the scope of the invention in any way.

The compounds of the invention may be administered by any suitable route, including orally, parentally, by inhalation or rectally in dosage unit formulations containing conventional pharmaceutically acceptable carriers, adjuvants, and vehicles, including liposomes. The term parenteral as used herein includes, subcutaneous, intravenous, intraarterial, intramuscular, intrasternal, intratendinous, intraspinal, intracranial, intrathoracic, infusion techniques, intracavity, or intraperitoneally. In a

preferred embodiment, the compounds of the invention are administered orally or parentally.

The instant invention may be embodied in other forms or carried out in other ways without departing from the spirit or essential characteristics thereof. The present disclosure and enumerated examples are therefore to be considered as in all respects illustrative and not restrictive, the scope of the invention being indicated by the appended claims, and all equivalency are intended to be embraced therein. One of ordinary skill in the art would be able to recognize equivalent embodiments of the instant invention, and be able to practice such embodiments using the teaching of the instant disclosure and only routine experimentation.

## Examples

### 1. *Tissue Processing*

Excess tissue specimens obtained from a variety of organs and tissues such as colon, lung, kidney, stomach, and buttocks were obtained freshly at the time of surgery and samples were sent for pathological testing. For diagnosis and grading of tissue samples (ie: prior to processing), hematoxylin and eosin stained tissue sections were examined by a pathologist. If the diagnosis and grading of the tissue concurred with the determination made by the surgical pathologist that provided the tissue, then the tissue was used in the screen. If there was no agreement, then two additional pathologists served as referees. If no consensus was reached, then the tissue was discarded.

The remaining tissue was used to prepare cell suspensions. The tissue was initially treated enzymatically via standard methods until only undigested material

remained. The resulting cell suspension was further purified via isokinetic density centrifugation.

Additional normal cells were removed from the cell suspension by negative immunoselection with a combination of monoclonal antibodies linked to magnetic beads (Dyna) that were used according to the manufacturers' instructions. The remaining cells were placed into appropriate medium, frozen down in one ml aliquots, and stored until use.

*Example 2. General Screen/Bioassay Procedures*

After tissue processing, the relative purity of the resulting cell suspension was determined by cytological examination after pap staining. Only those cell preparations greater than 80% tumor cells were used for testing of candidate compounds. If there was any doubt about the percentage of tumor cells in the cell preparation, additional pathologists served as referees to make a determination.

Cell preparations that passed histological and cytological examination for diagnosis, grading, and cell purity were thawed at 37°C and resuspended in tissue culture medium designed to maintain the cells during the incubation period. The live and dead cells were counted and the cells were diluted in culture medium to  $4.0 \times 10^4$  live cells/ml for tumor cells and  $1.32 \times 10^5$  live cells/ml for normal cells.

The cells were added to microtiter plates and incubated at 37°C for one hour with 10  $\mu$ m of the candidate compounds that were added at 1/10th the volume of the cell suspension. Alamer Blue (Accumed International, Westlake OH) was then added to the cells at 1/10 the volume of the well, and the cells were further incubated at 37°C for various times. Alamer Blue dye measures cellular re-dox reactions (ie: cellular

respiration) whereby a spectral shift occurs upon reduction of the dye. (Excitation 530 nm; emission 590 nm)

The kinetics of cellular re-dox reactions were subsequently measured at various times, for example at 3 hours, 3 days, and 5 days post-dye addition. These measurements, in comparison with control cells (untreated with compound) and media controls (test wells without cells) provide the percent inhibition of cellular respiration as a result of candidate compound treatment, as well as IC<sub>50</sub> determinations.

The Alamer Blue data were subsequently confirmed by microscopic observation, and by the use of calcein AM (Molecular Probes, Eugene OR), a cell permeant esterase substrate that measures both esterase activity and cell membrane activity. If the cell is alive, the dye is converted into a fluorogenic substrate by intracellular esterases and is retained by the cell (excitation 485 nm; emission 530 nm). If the cells are dead, the calcein AM rapidly leaks from the cells and is not converted into a fluorogenic substrate. Thus, the assay is useful for cytotoxicity testing.

15

### *Example 3. Anti-Tumor Pilot Screen*

In a blinded fashion, approximately 10,000 compounds were tested at a rate of 1,000-4,000 compounds per run set against colon, lung, and sarcoma tumors. The anti-tumor screen utilized was composed of three tiers as follows. In screen 1, patient tumor cells were tested in singles, with candidate compounds at a concentration of 10  $\mu$ M. Samples that showed at least 80% inhibition (compared to cell and media controls) and/or two standard deviations from the mean of the plate samples were advanced. In the first part of the second test (screen 2a), the compounds were re-tested, in duplicate, at 10  $\mu$ M concentrations on patient tumors. Compounds that re-

20



confirmed were then tested, in duplicate, at 10  $\mu$ M concentration on patient normal cells. Samples that exhibited at least 80% inhibition on tumor cells and no more than 20% inhibition of normal cells were tested in the second part (screen 2b). At this level, the  $IC_{50}$  of the remaining candidate compounds were assayed, in triplicate, on both

5 patient tumor (screen 3a) and patient normal cells (screen 3b).

A summary of the results from this pilot screen is shown below:

#### ANTI-TUMOR PILOT SCREEN RESULTS

Tumor	Sarcoma	Lung	Colon
# compounds tested	8,000	9,920	9,840
Screen 1: # compounds with requisite result	326	232	326
Screen 2aT: # reconfirmed	209	182	227
Screen 2aN: # with requisite normal cell result	40	30	34
Screen 2b: # compounds with $IC_{50}$ no more than 500 nM	6	8	6

To briefly summarize the results of the first phase of the screen, the "hit rate" in screen 1 ranged between 2.3% and 4.1%. Furthermore, throughout the run set, the coefficient of variation for the plate controls was approximately 10% (data not shown).

25 The reconfirmation rate for the three tumors ranged between 64% and 78%. The percentage of active compounds that did not exhibit gross toxicity on normal cells was between 0.3% and 0.5% of the compounds tested in screen 1. Lastly, the percentage of compounds that have  $IC_{50}$  no more than 500 nM was approximately 0.08% of the compounds tested in screen 1.

30 Included in the pilot screen in a "blinded fashion" were 480 generic drugs including 13 anti-neoplastic compounds. None of the anti-neoplastic compounds

passed the screen. Two compounds (cyclophosphamide and methotrexate) would not have been anticipated to demonstrate in vitro activity. The remaining compounds either did not demonstrate at least 80% inhibition of tumor cells at 10  $\mu$ M or showed greater than 20% inhibition of normal cells.

5 In an effort to discover additional compounds that are both potent and selective, upon completion of the first round of the screen, partial  $IC_{50}$  were performed for both screens 2aT and 2aN. This allowed for the detection of compounds that may have failed the first round of testing because, the screen did not allow discovery of potent anti-tumor compounds that exhibited more than 20% inhibition of normal cells at 10  
10  $\mu$ m. Therefore, the 362 compounds originally selected from screen 1 were tested in screen 2aT in duplicate at 1.0  $\mu$ M, 100 nM, and 10 nM. Twenty compounds, five compounds, and four compounds, respectively, demonstrated at least 80% inhibition of tumor cells at these concentrations.

These 29 compounds were then tested in screen 2aN, in duplicate. Starting at  
15 6.3  $\mu$ M, five serial five-fold dilutions were tested. Based on these results, seven compounds were advanced to screen 2b where they were tested against tumor and normal cells, in triplicate, in eight half-log dilutions. A summary of the combined results for 13 of the compounds are shown in Figure 1. Three of the compounds identified in screen 2b are structural analogs of each other.

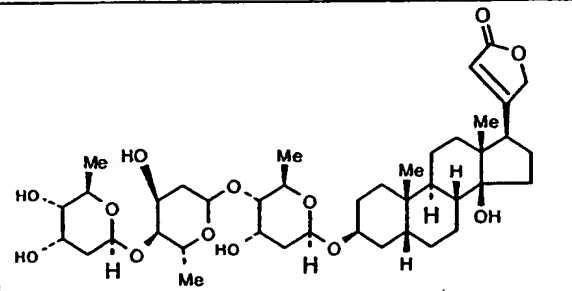
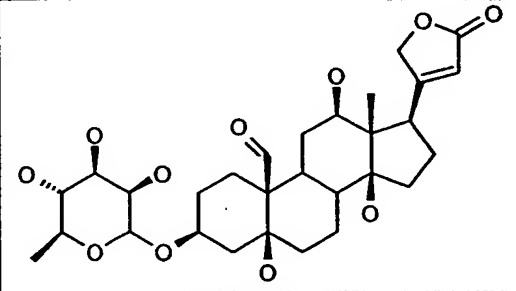
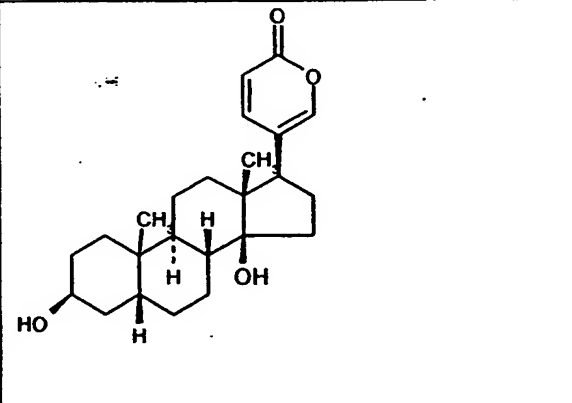
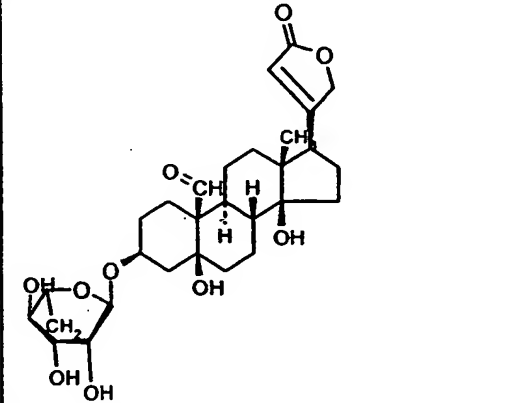
20 The three compounds, CP4255, CP7079, and CP7978, that were structurally similar demonstrated anti-tumor activity and good potency (Figure 1). A composite dose-response curve of an example of the class of compounds is shown in Figure 2 for candidate compound CP7079. Upon testing a wider range of tumor types, the class of compound was demonstrated to be most active on sarcomas, ovarian carcinomas, and

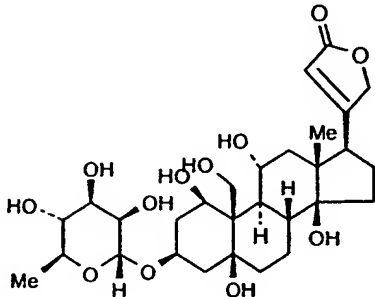
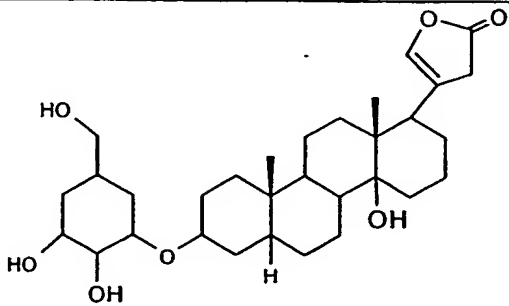
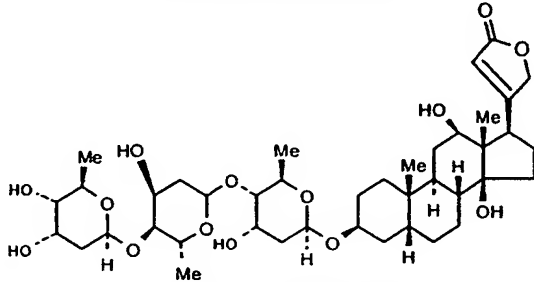
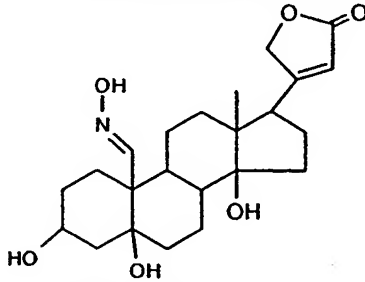
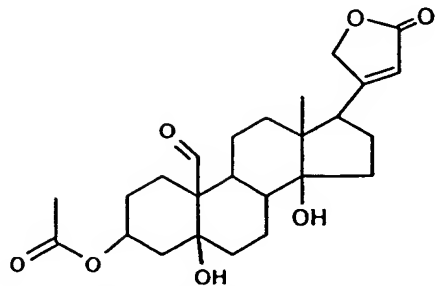
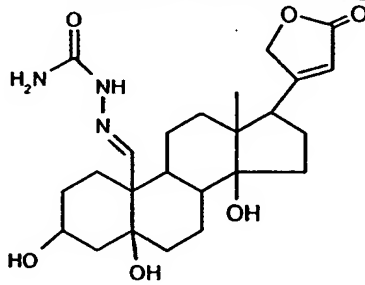
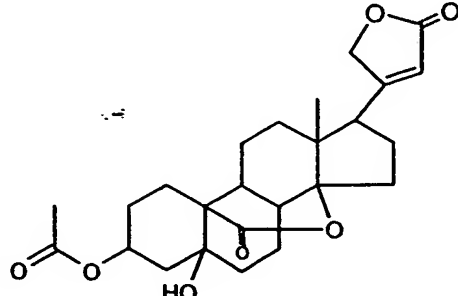
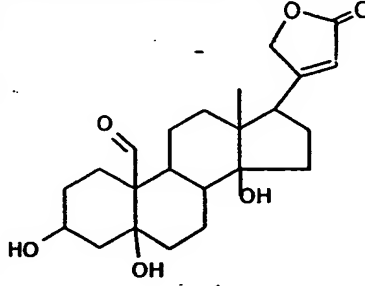
mesothelioma-type tumors. In comparison, the compounds were less active on colon and lung tumors, as well as melanomas and other tumors derived from stomach, kidney, and gall bladder (data not shown). **Figure 3** compares these CP7079 with platinol and taxol on an ovarian carcinoma, sarcoma, and normal lung cells. Taken together, the data show that these compounds exhibit both anti-tumor activity and good potency.

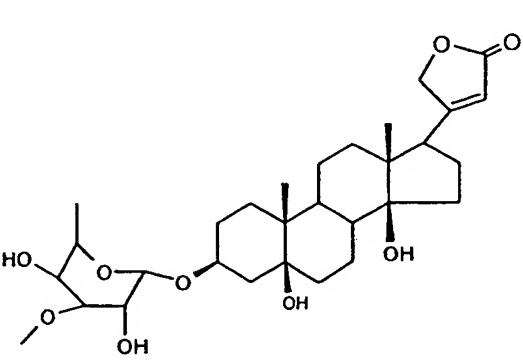
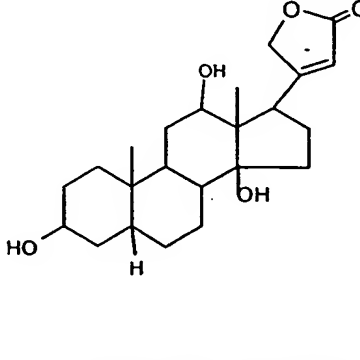
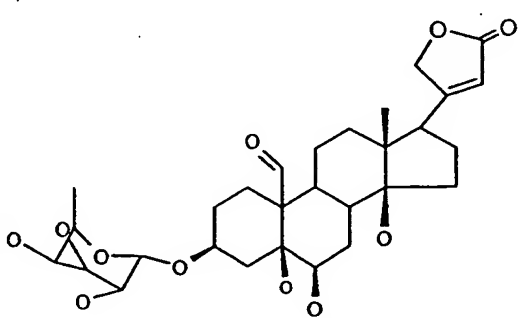
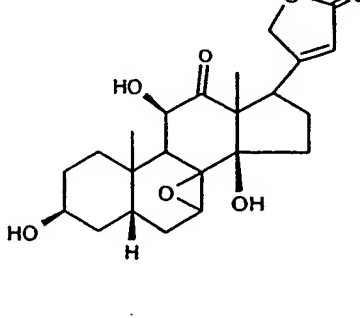
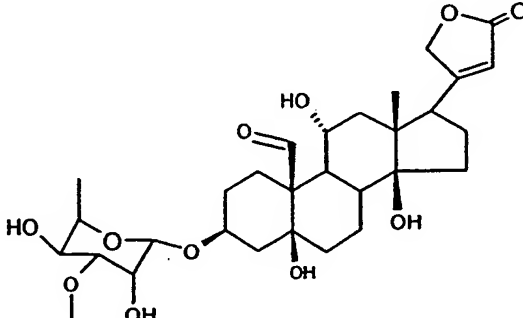
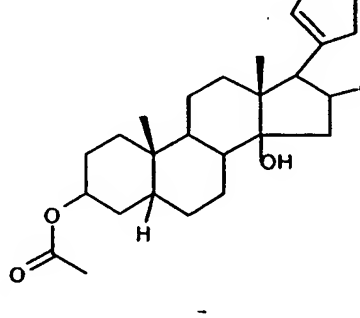
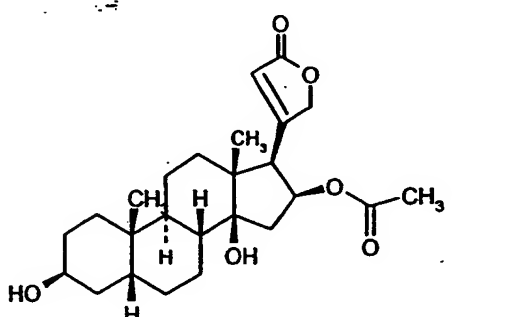
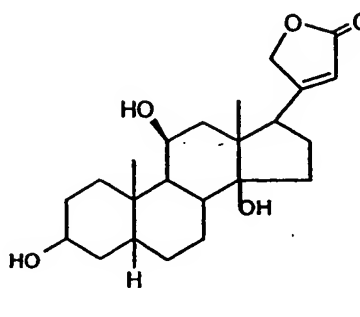
*Example 4. Identification of additional anti-tumor compounds*

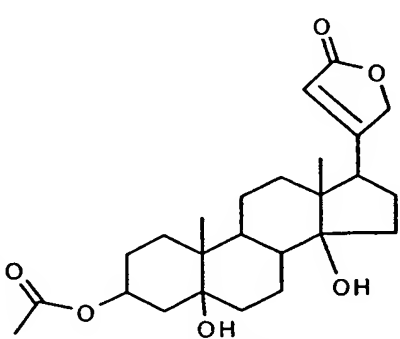
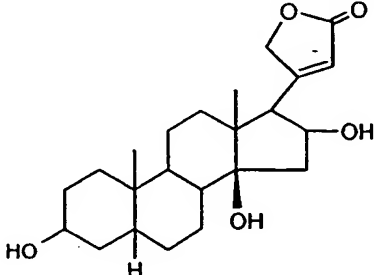
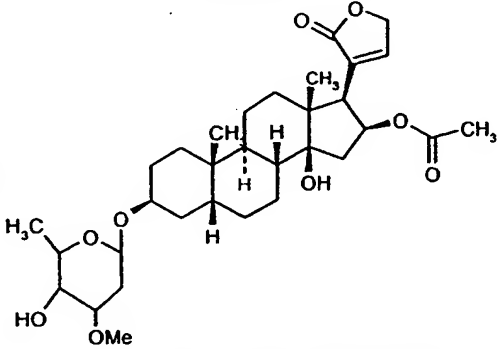
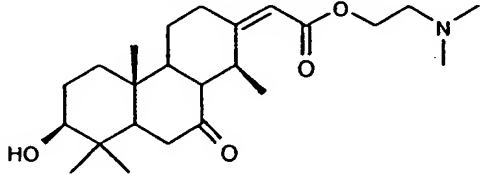
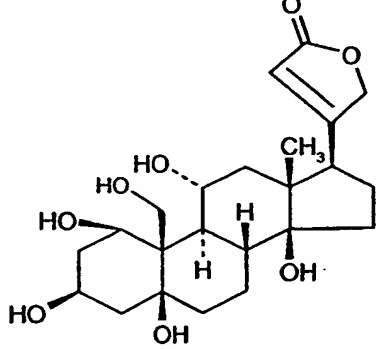
CP4255, CP7079, and CP7978 were subsequently identified as cardiac glycosides. In an effort to identify further compounds structurally related to these three compounds that exhibited similar or improved anti-tumor efficacy, a series of structurally related cardiac glycosides were tested as in Example 3.

The following compounds showed the desired efficacy:

	
Digitoxin (CP4071)	CP7079
	
Bufalin (CP1493M)	Convallatoxin (CP2575)

	
Ouabain (CP4255)	Periplocymarin (CP7918)
	
Digoxin (CP4072)	Strophanthidin oxime (CP7998)
	
Strophanthidin acetate (CP7992)	Strophanthidin semicarbazone (CP7995)
	
Strophanthidinic acid lactone, acetate (CP7999)	Strophanthidin (CP7997)

	
Emicymarin (CP7590)	Digoxigenin (CP7501)
	
Sarmentoside D (CP7978)	Sarverogenin (CP7985)
	
Sarmentoside A (CP7980)	Gitoxigenin diacetate (CP7655)
	
Oleandrogenin (CP6963MG)	Sarmentogenin (CP7973)

	
CP6997	Gitoxigenin (CP7656)
	
Oleandrin (CP6963M)	Cassaine sulfate (CP7404)
	
Ouabagenin (CP7030)	

Compound CP7079 is one of alpha-antiarrin, beta-antiarrin, or antiarrin, which differ from each other only in the stereochemistry of the sugar residue. Only the structure for alpha-antiarrin is found in The Merck Index (p. 117; Merck & Co., Inc. Publishers, 12<sup>th</sup> edition, 1996). In any event, all three of the compounds should be effective in the assay.

A summary of the results of studies carried out on cells isolated from a sarcoma taken from a single patient is presented in Table 1.

TABLE 1

COMPOUND	IC <sub>50</sub> (nM)	TUMOR TYPE
Convallatoxin (CP2575M)	3.8	Sarcoma
Ouabain (CP4255)	4.6	Sarcoma
Oleandrin (CP6963M)	4.8	Sarcoma
CP7079	10	Sarcoma
Oleandroginin (CP6963MG)	12.1	Sarcoma
Digitoxin (CP4071)	13	Sarcoma
Bufalin (CP1493M)	13	Sarcoma
Digoxin (CP4072)	14	Sarcoma
Periplocymarin (CP7918)	28	Sarcoma
Strophanthidin semicarbazone (CP7995)	38	Sarcoma
Strophanthidin oxime (CP7998)	40	Sarcoma
Strophanthidin acetate (CP7992)	42	Sarcoma
Cassaine sulfate (CP7074)	67	Sarcoma
Strophanthidin (CP7997)	93	Sarcoma
Strophanthidic acid lactone, acetate (CP7999)	93	Sarcoma
Sarmentoside D (CP7978)	137	Sarcoma
Emicymarin (CP7590)	139	Sarcoma
Sarverogenin (CP7985)	162	Sarcoma
Digoxigenin (CP7501)	215	Sarcoma
Gitoxigenin diacetate (CP7655)	728	Sarcoma
Ouabagenin (CP7030M)	970	Sarcoma
Sarmentogenin (CP7973)	1100	Sarcoma
CP6997	2510	Sarcoma
Gitoxigenin (CP7656)	3000	Sarcoma

Similar experiments were performed on cells isolated from ovarian tissue taken from two separate patients. The following results were obtained:

5 TABLE 2

Compound	IC <sub>50</sub> on cells from patient 1	IC <sub>50</sub> on cells from patient 2
Digitoxin (CP4071)	-----	31 nM
Digoxin (CP4072)	3.7 nM	56 nM
CP7079	4.3 nM	13 nM
Oubain (CP4255)	5.5 nM	16 nM
Sarmentoside D (CP7978)	77 nM	280 nM
Sarmentoside A (CP7980)	-----	470 nM

The differences in IC<sub>50</sub> values of the compounds observed between the two ovarian tumor patients may represent patient heterogeneity or could indicate a difference in the specific ovarian tumor type.

Taken together, these data show that the cardiac glycosides of the invention can be used as anti-neoplastic agents at relatively non-toxic concentrations.

Based on all of the above data, the present invention surprisingly provides needed methods and pharmaceutical compositions for treating mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.

**Example 5. Phase II Evaluation of CP4071 in previously treated advanced sarcomas.**

**A. Statement of Study, Purpose: Rationale**

1. To evaluate the efficacy, as measured by the response rate, of digitoxin given daily to patients with unresectable or metastatic sarcoma who have failed one or more prior treatment regimens.
2. To assess the clinical and laboratory toxicities of this dose/schedule of oral CP4071.

**1. BACKGROUND**

Soft tissue sarcomas represent 0.7% of all malignancies diagnosed in the United States, but the morbidity is great in that the peak incidence of these tumors is seen in children and young adults (1,2). A second peak occurs in late middle-age, resulting in significant morbidity in productive adults (2). Although the primary treatment of these tumors has improved, with limb-preserving surgery and radiation therapy resulting in improved functional ability, the treatment of metastatic disease is unsatisfactory and systemic chemotherapy as a post-surgical adjuvant is of unproven value (2). Only three agents - doxorubicin, ifosfamide and dacarbazine - are accepted as having useful activity in this group of diseases and combination chemotherapy regimens have not been demonstrated to result in a survival duration superior to that produced by therapy with single agents (2-6). Clearly, new agents with activity in soft tissue sarcomas need to be identified if therapy of these tumors is to improve.

Of the cardiac glycosides tested, digitoxin was determined to be the best drug for clinical trials in patients who have sarcomas. Digitoxin appeared more active in the assays than digoxin. Digitoxin and ouabain were equally active but the ouabain would need to be given by an intravenous infusion, as opposed to the convenience of orally available digitoxin.

**1.1 Pharmacology-** Digitoxin is extracted and crystallized from *Digitalis purpurea*. It has been used to treat cardiovascular disease for decades.

The activity of digitoxin on the myocardium is dose-related, and involves both a direct action on myocardium, the specialized conduction system and indirect actions on the cardiovascular system mediated by the autonomic nervous system. These indirect



actions involve a vagomimetic action (depression of atrioventricular node and a baroreceptor sensitization) that results in increased carotid sinus nerve activity and enhanced sympathetic withdrawal for any given increment in mean arterial pressure.

Digitalis has a direct inotropic effect on the myocardium. At higher doses digitalis increases sympathetic outflow from the CNS to both cardiac and peripheral sympathetic nerves. The result may be increased atrial or ventricular rates, possibly an important factor in digitalis cardiac toxicity.

The cellular basis for the inotropic effects of the digitalis glycosides appears to be inhibition of sodium and potassium ATPase in the sarcolemma, which alters excitation-contraction coupling. By inhibiting sodium and potassium-ATPase the digitalis glycosides make more calcium available to activate the contractile proteins, actin and myosin.

The mechanism of the antitumor activity of cardiac glycosides is not known.

15

### 1.2 Pharmacokinetics:

Digitoxin is greater than 90% absorbed following oral administration. When taken with food, absorption is slowed but complete, except when taken with meals high in bran fiber.

Cardiac glycosides are widely distributed in tissues. High concentrations are found in the myocardium, skeletal muscle, liver, brain and kidneys.

Fifty-80% of administered digitoxin is degraded by the liver to inactive metabolites that are excreted by the kidneys. About 8% is converted to digoxin.

Digitoxin has a serum half life of 7-9 days. As a result, clinical effects do not fully develop until steady state levels (approximately 5 half lives) are achieved, and 3-5 weeks are needed for complete dissipation of digitoxin effects following discontinuation. Digitoxin is greater than 95% bound to plasma proteins. The bound drug is in equilibrium with the unbound fraction. At equilibrium, the concentrations in cardiac tissue are 15 to 30 times those in the plasma; the concentration in skeletal muscle is about half that in the heart. Digitoxin is not effectively removed by peritoneal or hemodialysis, probably due to its high degree of plasma protein binding.

**2. STUDY RATIONALE.** Both in vitro and epidemiological data suggest that the cardiac glycosides have antitumor activity. Since the risks of digitoxin administration are well known in humans, it is appropriate to determine if doses routinely used to treat patients with cardiac disorders are sufficient to achieve an antitumor effect in patients with various sarcomas.

### 3. STUDY DRUG

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**3.1 CP4071 (digitoxin)** is a single cardiac glycoside extracted from the leaves of *Digitalis purpurea*. Digitoxin is: card-20 (22)-enolide, 3-[(O-2,6-dideoxy-β-D-ribo-hexopyranosy-(1-4)-2,6-dideoxy-β-D-ribo-hexopyranosy) oxy]-14 hydroxy, (3β,5β). Digitalis has been used to treat cardiovascular disease for many decades.

45

**3.2 Source of Drug:** Digitoxin (Medisca) will be provided by the pharmacist as a liquid. It is formulated to contain 0.05 mg digitoxin/ml, 20% ethanol (USP), 10% propylene glycol, 200 mg aspartame and crème de mint qs ad 8 oz water. The

digitoxin will be provided in capped syringes each containing a single premeasured dose of drug which can be either taken directly or first diluted in a small amount of liquid.

- 5    **3.3 Drug Dosage:** Eligible patients initially will be slowly digitalized, with 4 ml (0.2 mg) digitoxin twice daily for 4 days, followed by a maintenance dosage of 1 ml (0.05) to 6 ml (0.3 mg). The initial maintenance dose will be 0.15mg daily. If after six weeks at 3ml (0.15 mg) daily there is no evidence of digitalis toxicity (ECG, digitoxin blood level, GI or visual symptoms, the maintenance dose may be increased to 4 ml (0.2 mg) daily. If after six weeks at 4 ml (0.2 mg) daily there is no evidence of digitalis toxicity (ECG, digitoxin blood level, GI or visual symptoms), the maintenance dose may be increased to 5 ml (0.25 mg) daily. All patients must start at the 3 ml (0.15 mg) digitoxin dose and may be escalated to the 5 ml (0.25 mg) dose if tolerated in the above schedule.

- 15    If a patient experiences a Grade 3 or Grade 4 arrhythmia, the digitoxin dose will be withheld. Once resolved, the patient may be restarted at the next lower non cardiotoxic dose.

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## **3.5 PRECAUTIONS**

### **3.5.1. Electrolyte imbalance:**

- 25    **Potassium** - Hypokalemia sensitizes the myocardium to digitalis. Toxicity may develop even with serum glycoside levels in the "normal" range. Therefore, it is important to maintain normal serum potassium levels. Potassium wastage may result from diuretic or corticosteroid therapy, hemodialysis or from suction of gastrointestinal secretions. It may also accompany malnutrition, diarrhea, prolonged vomiting, old age or long-standing congestive heart failure. Infusion of carbohydrate solution may lower serum potassium by causing an intracellular shift of potassium. In general avoid rapid shifts in serum potassium or other electrolytes.

- 35    **Calcium** - Hypercalcemia from any cause predisposes the patient to digitalis toxicity. The effect of cardiac glycosides on the myocardium are reduced by hypocalcemia.

- 40    **Magnesium** - Hypomagnesemia may predispose to digitalis toxicity. If low magnesium levels are detected, magnesium levels will be restored with oral or intravenous supplementation as required.

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### **3.5.2. Cardiac Problems:**

- 45    Patients with incomplete AV block, especially subject to Stokes-Adams syndrome may develop advanced or complete heart block. Patients with acute myocardial infarction, severe pulmonary disease, severe carditis (e.g. carditis associated with rheumatic fever or viral myocarditis) or advanced heart failure may be more sensitive to digitalis and prone to rhythm disturbances.

**Drug Interactions:**

A variety of drugs may affect the serum concentration of cardiac glycosides via various mechanisms (e.g., altered GI flora, decreased clearance.) possibly increasing the therapeutic or toxic effects.

**Beta blockers:** These drugs may inhibit AV nodal conduction and can result in complete heart block.

**Nondepolarizing muscle relaxants and succinylcholine:** When administered with digitalis glycosides, cardiac arrhythmias may be increased.

**Potassium-sparing diuretics:** Spironolactone may increase or decrease toxic effects of digitalis glycosides. Changes cannot be predicted and patients should be carefully monitored. Amiloride may decrease inotropic effects of digoxin; triamterene may increase its pharmacological effects.

**Sympathomimetics:** Concomitant use with digitoxin can increase the risk of cardiac arrhythmias because both enhance ectopic pacemaker activity.

**Thiazide and loop diuretics and amphotericin B** increase potassium loss, possibly resulting in hypokalemia and will be used with caution in patients receiving CP4071.

**Thyroid hormones:** Thyroid hormones may decrease the therapeutic effectiveness of cardiac glycosides, and thioamines may increase their therapeutic and toxic effects.

**3.5.3 Contraindications:**

A previous toxic response to digitalis preparations, ventricular fibrillation, ventricular tachycardia, beriberi heart disease, allergy to digitalis, although rare may occur and some cases of hypersensitive carotid sinus syndrome.

**Pregnancy: Category C.** Digitoxin rapidly crosses to the fetus in a concentration 50-83% of maternal serum. Effects on reproductive capacity and fetal harm are unknown.

**3.5.4 LABORATORY TESTS:**

Determinations of heart rate, electrolytes (especially magnesium, potassium and calcium) and ECG will be determined (cf Table I) and whenever required in evaluating the patient's condition.

**Serum digitoxin levels:** - Serum digitoxin levels will be determined (cf Study Calendar) at two week intervals or if there is a suggestion of digitalis toxicity. Samples should be obtained (trough levels) just before the daily dose. The therapeutic concentration is 10-35 ng/mL (13-46 nM). However, the steady-state serum concentration should only be interpreted in relation to the overall clinical evaluation of the patient. An isolated serum concentration value alone will not be used as a basis for increasing or decreasing the digitoxin dose.

## **B. Description of Study Design and Statistical Analysis**

### **4. Methods for Selecting Subjects.**

4.1 All patients must have a pathologically verified diagnosis of soft tissue sarcoma with histologic, cytologic or clinical evidence of metastatic or locally advanced disease (Stage IV or recurrent). Patients with, Kaposi's sarcomas, sarcomas of bone or mesotheliomas are not eligible. Please see Staging Criteria, below.

4.2 Patients must have received no more than three prior chemotherapy regimens for advanced, recurrent or metastatic disease. Prior biologic response modifier treatment will be allowed.

4.3 Patients must have unidimensionally measurable lesions by x-ray, scans (CT or MRI) or physical examination documented within 28 days prior to registration. Patients with CNS metastases are not eligible.

4.4 Prior radiation therapy will be allowed. At least 3 weeks must have elapsed since the administration of the last fraction of radiation therapy and patients must have recovered from all associated toxicities. The measurable disease site should be outside of previously irradiated fields.

4.5 Patients must have a Southwest Oncology Group Performance Status of 0 - 2.

4.6 Patients must have either serum creatinine less than 1.5 times the institutional upper limit of normal, and serum calcium less than the institutional upper limit of normal, and serum potassium within normal limits, obtained within 14 days prior to registration.

4.7 Patients with heart disease already on a cardiac glycoside are not eligible.

4.8 Patients must have adequate hepatic function as defined by a serum bilirubin. up to 2.5 x the institutional upper limit of normal (ULN).

4.9 Patients may have received prior surgery. At least 4 weeks must have elapsed and patients must have recovered from all side-effects associated with surgery.

4.10 Pregnant or nursing women may not participate. Women/men of reproductive potential may not participate unless they have agreed to use an effective contraceptive method.

4.11 Patients may not receive concurrent radiation therapy, hormonal therapy for malignancy or other chemotherapy while on this protocol.

4.12 Patients must be physically, mentally and emotionally able to give informed consent.

4.13 No other prior malignancy is allowed except for the following: adequately treated basal cell or squamous cell skin cancer, *in situ* cervical cancer, adequately treated Stage I or II cancer from which the patient is currently in complete remission, or any other cancer from which the patient has been disease-free for 5 years.

4.14 If day 14 or 28 falls on a weekend or holiday, the limit may be extended to the next working day. In calculating days of tests and measurements, the day a test or measurement is done is considered to be Day 0. Therefore, if a test is done on a Monday, the Monday two weeks later would be considered Day 1.

4.17 Patients must be informed of the investigational nature of this study and must sign and give written informed consent in accordance with institutional and federal guidelines.

5

## **5. Statistical Considerations**

5.1. This is a pilot Phase II, non-randomized, single-armed study. The expected accrual rate is 5-6 patients per month. The duration of the study is anticipated to be 12 months; the duration of each subject's participation is likely to be twelve to eighteen months (the approximate duration of time to failure in sarcoma patients responding to agents known to be effective). Tumor measurements will be repeated on each patient at three to six week intervals.

5.2. A response probability of 20% would be of interest, while further testing would not be pursued if the response probability is 5% or lower. Initially, 14 patients will be entered. If at least one response is observed, an additional 11 patients will be entered. 5 or more responses out of 25 will be considered as evidence warranting further study of this regimen provided other factors, such as toxicity and survival also appear favorable. This design has a significance level (probability of falsely declaring an agent with a 5% response probability to warrant further study) of 5%, and a power (probability of correctly declaring an agent with a 20% response probability to warrant further study) of 94%.

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True Response Probability	5%	10%	15%	20%
Probability of stopping early	36%	12%	4%	1%
Probability of declaring agent warrants further study	5%	37%	73%	92%

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5.3. 25 patients are insufficient to estimate the probability of a particular toxicity to within  $\pm 0.16$  (40 patients would be required for this). However, any toxicity occurring with at least 10% probability is likely to be seen at least once (87% chance).

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## **6.0 Description of study procedures:**

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6.1 Pretreatment requirements are to be completed as listed on the Study Calendar, Section 9.0.

6.2 A baseline CT or MRI scans deemed relevant to tumor evaluation will be obtained, and repeated at 6 week intervals during treatment.

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6.3 Drug Administration: All patients will initially receive the same treatment. Digitoxin solution, 0.2 mg will be administered orally twice a day for 4 days. On day 5 a daily maintenance dose of 0.15 mg will be started. If at six weeks there is no

evidence of digitalis toxicity, the maintenance dose may be increased to 0.20 mg daily. If after a further six weeks there is no evidence of digitalis toxicity, the maintenance dose may be increased to 0.25 mg daily.

6.4 Serum digitoxin levels will be obtained just before daily dose every six weeks or whenever there is any suggestion of digitalis toxicity.

6.5 Responding or stable disease patients will continue on study until tumor progression.

6.6 Criteria For Removal From Protocol Treatment.

- a. Progression of disease (as defined in Section 10.1d.5).
- b. Delay of treatment greater than 2 weeks.
- c. Unacceptable toxicity requiring discontinuation of chemotherapy (ECG evidence of digitalis toxicity) (see Section 8.0).

6.7 The patient may withdraw from the study at any time for any reason. All reasons for discontinuation of treatment must be documented in the Flow Sheets.

6.8 All patients will be followed until death.

6.9 Supportive Therapy, toxicities to be monitored, and dosage modifications:

It is important that the patient ingest an adequate amount of potassium daily. Foods high in potassium, such as bananas, may be an adequate source.

Other Concomitant Medication: No other concomitant medications should be given except analgesics, chronic treatments for concomitant medical conditions, or agents required for life-threatening medical problems. All medications prescribed should be checked for drug interactions.

#### Patient Information:

Avoid over the counter antacids, cough, cold, allergy and diet drugs unless approved by the investigator(s). Notify physician if loss of appetite, lower stomach pain, nausea, vomiting, diarrhea, unusual tiredness or weakness, drowsiness, headache, blurred or yellow vision, skin rash or hives or mental depression occurs.

**7.0 DOSE ADJUSTMENTS:** guidelines which follow outline dose adjustments for several of these toxic effects.

**IF A PATIENT EXPERIENCES SEVERAL TOXICITIES AND THERE ARE CONFLICTING RECOMMENDATIONS, PLEASE FOLLOW THE MOST CONSERVATIVE DOSE ADJUSTMENT RECOMMENDED.**

**DOSES WHICH HAVE BEEN REDUCED FOR TOXICITY SHOULD NOT BE RE-ESCALATED BACK TO STARTING LEVEL.**

7.1 Gastrointestinal: Most common early symptoms are anorexia, nausea, vomiting and diarrhea. Abdominal discomfort or pain often accompanies GI symptoms. Digitalis toxicity very rarely may cause hemorrhagic necrosis of the intestines.

7.2 CNS: Headaches, weakness, apathy, drowsiness, visual disturbances (blurred, yellow vision, halo effect), confusion, restlessness, disorientation, seizures, EEG abnormalities, delirium, hallucinations, neuralgia, psychosis.

7.3 Cardiac disturbances: Ventricular tachycardia may result from digitalis toxicity. Unifocal or multifocal premature ventricular contractions, especially in bigeminal or trigeminal patterns, are the most common toxic arrhythmias. Paroxysmal dysrhythmias, nodal rhythms, AV dissociation, accelerated junctional (nodal) rhythm and paroxysmal atrial tachycardia with block are also common. Excessive slowing of the pulse is a clinical sign of overdose. AV block of increasing degree may proceed to complete heart block. Atrial fibrillation can occur following large doses of digitalis. Ventricular fibrillation is the most common cause of death from digitalis poisoning. The ECG is essential in determining the presence and nature of these cardiac disturbances.

7.4 Hypokalemia: Administer potassium chloride intravenously or in divided oral doses.

7.5 Digitoxin will be held if there is evidence of cardiac toxicity. The decision to reduce the dose or discontinue therapy with digitoxin will be made in conjunction with a cardiac consultant.

7.6 Unexpected or fatal toxicities (including suspected reactions) must be reported to the Operations Office, to the Study Coordinator, and to the IRB.

## 8.0 CRITERIA FOR EVALUATION AND ENDPOINT DEFINITIONS

### 25 Definitions:

a. **Measurable Disease:** Unidimensionally measurable lesions with clearly defined margins by: 1) medical photograph (skin or oral lesion), or plain x-ray with at least one diameter .5 cm or greater (bone lesions are not included) or, 2) CT, MRI or other imaging scan with at least two diameters greater than the distance between cuts of the imaging study, or 3) palpation with 2 diameters 2 cm or greater, although only one diameter selected initially need be measured.

b. **Evaluable Disease:** Masses with margins not clearly defined, lesions with both diameters less than 0.5 cm, lesions on scan with either diameter smaller than the distance between cuts, palpable lesions with either diameter less than 2 cm, bone disease.

c. **Non-Evaluable Disease:** Pleural effusions, ascites, disease documented by indirect evidence only (e.g., by lab values).

d. **Objective Status, To Be Recorded at Each Evaluation:** If an organ has too many measurable lesions to measure at each evaluation, choose three to be followed before the patient is entered on study. The remaining measurable lesions in that organ will be considered evaluable for the purpose of objective status determination. Unless progression is observed, objective status can only be determined when ALL measurable and evaluable sites and lesions are assessed.

8.1 **Complete Response (CR):** Complete disappearance of all measurable and evaluable disease. No new lesions. No disease related symptoms. No evidence of non-evaluable disease, including normalization of markers and other abnormal lab values. All measurable, evaluable and non-evaluable lesions and sites must be assessed using the same techniques as baseline. Refers to clinical CR--when restaging surgery is required, a separate pathologic response variable is incorporated in the response data.

8.2 **Partial Response (PR):** Applies only to patients with at least one measurable lesion: Greater than or equal to 50% decrease under baseline in the sum of products of perpendicular diameters of all measurable lesions. No progression of evaluable disease. No new lesions. All measurable and evaluable lesions and sites must be assessed using the same techniques as baseline.

8.3 **Partial Response, Non-Measurable (PRNM):** Not applicable.

8.4. **Stable/No Response:** Does not qualify for CR, PR, or progression. All measurable and evaluable sites must be assessed using the same techniques as baseline.

8.5. **Progression:** 50% increase or an increase of 10 cm<sup>2</sup> (whichever is smaller) in the sum of products of all measurable lesions over smallest sum observed (over baseline if no decrease) using the same techniques as baseline, OR clear worsening of any evaluable disease, OR reappearance of any lesion which had disappeared, OR appearance of any new lesion/site, OR failure to return for evaluation due to death or deteriorating condition (unless clearly unrelated to this cancer). For "scan only" bone disease, increased uptake does not constitute clear worsening. Worsening of existing non-evaluable disease does not constitute progression.

8.6 **Exceptions:** (1) In cases for which initial tumor flare reaction is possible (hypercalcemia, increased bone pain, erythema of skin lesions), either symptoms must persist beyond four weeks or there must be additional evidence of progression. (2) Lesions which appear to increase in size due to presence of necrotic tissue will not be considered to have progressed.

8.7. **Unknown:** Progression has not been documented and one or more measurable or evaluable sites have not been assessed.

#### 8.8 Notes:

a. Non-evaluable disease does not affect objective status except in determination of CR (must be absent - a patient who otherwise has a CR, but who has non-evaluable disease present or not assessed, will be classified as having a PR), and in determination of progression (if NEW sites of non-evaluable disease develop). Patients with only non-evaluable disease cannot be assessed for response.

b. For evaluable disease other than types specified in Section 10.1d.3, the only objective statuses which apply are CR, stable/no response, progression and unknown.



- c. Objective statuses must stay the same or improve over time until progression (unknown excepted).
- d. PR and PRNM cannot apply to the same patient.
- e. Best Response: This will be calculated from the sequence of objective statuses.

For patients with all disease sites assessed every three to six weeks, two objective status determinations of CR before progression are required for a best response of CR. Two determinations of PR or better before progression, but not qualifying for a CR, are required for a best response of PR. Two determinations of stable/no response or better before progression, but not qualifying as CR, PR or PRNM, are required for a best response of stable/no response; if the first objective status is unknown, only one such determination is required. Patients with an objective status of progression on or before the second evaluation (second AFTER the prestudy evaluation) will have a best response of increasing disease. Best response is unknown if the patient does not qualify for a best response of increasing disease and if all objective status determinations after the first determination and before progression are unknown.

For patients with disease scheduled to be assessed only at greater than six week intervals, only one assessment of stable/no response or better before progression, but not qualifying for a CR, PR or PRNM is required for a best response of stable/no response. For CR, PR or PRNM response must be confirmed; a second assessment should be scheduled for four weeks after the first documentation of response. Patients with objective status of progression at the first evaluation will have a best response of increasing disease. Best response is unknown if the patient does not qualify for best response of increasing disease and all objective status determinations before progression are unknown.

f. Performance Status: Patients will be graded according to the current Southwest Oncology Group grading scale:

### **GRADE SCALE**

- 0 Fully active; able to carry on all predisease activities without restriction.**
- 1 Restricted in physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature, e.g., light housework, office work.**
- 2 Ambulatory and capable of all self-care but unable to carry out any work activities. Up and about more than 50% of waking hours.**
- 3 Capable of only limited self-care; confined to bed or chair more than 50% of waking hours.**

4      **Completely disabled. Cannot carry on any self-care. Totally confined to bed or chair.**

5      **Dead**

5

g.      Time to Treatment Failure: From date of registration to date of first observation of progressive disease (as defined in 10.1d.5), death due to any cause, or early discontinuation of treatment.

10    h.      Time to Death: From date of registration to date of death due to any cause.

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*Example 6. A PHASE II TRIAL OF CP 4071 IN PATIENTS WITH RECURRENT EPITHELIAL MULLERIAN ADENOCARCINOMA*

**I. OBJECTIVES**

- 5
- A. To determine response rate and toxicity of CP4071 in patients with recurrent epithelial mullerian cancer.
- B. To assess the clinical and laboratory toxicities of this dose/schedule of CP4071.

10 **II. BACKGROUND AND RATIONALE**

15 In 1999, it is estimated that there will be 25,200 cases of ovarian cancer diagnosed in the United States (1). The majority of these patients present with advanced stage epithelial neoplasms where the management includes aggressive cytoreductive surgery and cytotoxic chemotherapy. Despite innovative surgical strategies and multi-agent chemotherapy, patients will experience recurrence of the ovarian cancer.

20 Previous studies by the Gynecologic Oncology Group established the superiority of cisplatin and paclitaxel over cisplatin and cyclophosphamide for the initial treatment of advanced stage epithelial ovarian cancer (2). Subsequent investigators demonstrated that carboplatin/paclitaxel is equivalent to cisplatin/paclitaxel when treating these patients (3). Despite prolongation of median progression free and overall survival, many of these patients will experience recurrent disease. Though many therapeutic options are available for recurrent disease, there is

25 no consensus as to the optimal regimen. One must consider efficacy, toxicity, route of administration and cost when deciding how to treat each individual patient. There definitely is a need for more effective and less toxic treatment for patients with recurrent ovarian carcinoma.

30 **STUDY RATIONALE**

Both in vitro and epidemiological data suggest that the cardiac glycosides have antitumor activity. Since the benefits and safety of digitoxin administration are well known in humans, it is appropriate to determine if doses routinely used to treat patients with cardiac disorders are sufficient to achieve an antitumor effect in patients with

35 recurrent mullerian epithelial adenocarcinoma.

**III. EXPERIMENTAL PLAN**

A. Study Design

- 40
1. Phase II
  2. Digitoxin
  3. Non-randomized
  4. Multicenter
  5. No stratification
  - 45 6. Endpoints:
    - a) Maximum tolerated dose
    - b) Efficacy

## c) Toxicity

Number of patients: 40

Estimated study duration: 12 months

## 5 IV. PATIENT ELIGIBILITY AND ENROLLMENT

## A. Inclusion Criteria.

1. Patients must have been previously treated at least once with a platinum analogue and paclitaxel prior to entry.
- 10 2. Patients with a histologic diagnosis of recurrent ovarian, tubal, or peritoneal cancer or a CA 125 >100 in patients who have a history of ovarian, tubal or peritoneal cancer.
3. Patients must have received no more than three prior chemotherapy regimens for advanced, recurrent or metastatic disease. Prior biologic response modifier treatment will be allowed.
- 15 4. Patients must have bidimensionally measurable lesions by x-ray, scans (CT or MRI), physical examination documented within 28 days prior to registration. Patients with CNS metastases are not eligible. Alternatively, patients with serum CA125 levels over 100 with no measurable disease may be enrolled in this study.
- 20 5. Prior radiation therapy will be allowed. At least 3 weeks must have elapsed since the administration of the last fraction of radiation therapy and patients must have recovered from all associated toxicities. The measurable disease site should be outside of previously irradiated fields.
- 25 6. Adequate bone marrow, renal and hepatic function as defined by WBC greater than 3,000 cells/cu.ml., platelets greater than or equal to 100,000/cuml., creatinine less than 1.5 mg/dl, total bilirubin less than or equal to 2 mg/dl, AST/ALT/alkaline phosphatase level <two times the upper limit of normal in the absence of liver metastasis or <five times the upper limit of normal if liver metastasis was present.
- 30 7. Gynecologic Oncology Group (GOG) performance status less than or equal to 2 (see Appendix I).
- 35 8. Patients who have signed an approved informed consent.

## 40 B. Exclusion Criteria

1. Patients with epithelial ovarian cancer of low malignancy potential.
2. Patients with septicemia, severe infection, or acute hepatitis.
- 45 3. Patients with severe gastrointestinal bleeding.
4. Patients with a past or concomitant malignancy other than

skin (excluding melanoma).

5. Patients with heart disease already on a cardiac glycoside are not eligible.
6. Patients with known cardiac disease, not already on a cardiac glycoside, may be enrolled unless they have idiopathic hypertrophic stenosis.
7. Patients with hypokalemia are not eligible until serum potassium level is within the normal range.
8. Pregnant or nursing women may not participate. Women of reproductive potential may not participate unless they have agreed to a pregnancy test and to use an effective contraceptive method.

Following determination of eligibility and acquisition of signed informed consent, patients are deemed eligible for protocol entry.

Patients will be followed by their gynecologic oncologist to assess efficacy of the medication with regards to their ovarian cancer. Cardiologists will follow the patients digitoxin levels, ECG's, and assess patients for evidence of digitoxin toxicity.

## V. CRITERIA FOR EVALUATION AND ENDPOINT DEFINITIONS

### A. Definitions.

1. Measurable Disease: Bidimensionally measurable lesions with clearly defined margins by 1) medical photograph (skin or oral lesion) or plain x-ray with at least one diameter 0.5 cm or greater (bone lesions are not included), or 2) CT, MRI or other imaging scan with both diameters greater than the distance between cuts of the imaging study, 3) palpation with both diameters 2 cm or greater, or 4) CA 125 greater than 100.
2. Evaluable Disease: Unidimensionally measurable lesions, masses with margins not clearly defined, lesions with both diameters less than 0.5 cm, lesions on scan with either diameter smaller than the distance between cuts, palpable lesions with either diameter less than 2 cm, bone disease.
3. Non-Evaluable Disease: Pleural effusions, ascites.
4. Complete Response (CR): A clinical complete response requires the complete disappearance of all known measurable and evaluable disease determined by two measurements not less than four weeks apart. A serologic complete response will be defined by the decline of the

previously elevated CA 125 declined to within normal limits on two successive measurements three weeks apart. Refers to clinical CR--when restaging surgery is required, a separate pathologic response variable is incorporated in the response data.

5

5. Partial Response: A clinical partial response will be defined by greater than 50% decrease in the sum of the products of the greatest length and perpendicular width of all measurable lesions for at least four weeks, with no simultaneous increase in evaluable disease during this period. A serologic partial response will be defined by a 50% decrease in the previously elevated CA 125 which is confirmed on repeat analysis 3 weeks later. All measurable and evaluable lesions and sites must be assessed using the same techniques as baseline.

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6. Stable Disease: State of response that is less than partial response or progression and lasts for at least eight weeks with no clinical evidence of progression.

7. Progression: 50% increase or an increase of 10 cm<sup>2</sup> (whichever is smaller) in the sum of products of all measurable lesions over smallest sum observed (over baseline if no decrease) using the same techniques as baseline, OR clear worsening of any evaluable disease, OR reappearance of any lesion which had disappeared, OR appearance of any new lesion/site, OR failure to return for evaluation due to death or deteriorating condition (unless clearly unrelated to this cancer) OR doubling of CA 125 which is confirmed on repeat analysis three weeks later. For "scan only" bone disease, increased uptake does not constitute clear worsening. Worsening of existing non-evaluable disease does not constitute progression.

8. Unknown: Progression has not been documented and one or more measurable or evaluable sites have not been assessed.

40 Exceptions:

(1) In cases for which initial tumor flare reaction is possible (hypercalcemia, increased bone pain, erythema of skin lesions), either symptoms must persist beyond four weeks or there must be additional evidence of progression. (2) Lesions which appear to increase in size due to presence of necrotic tissue will not be considered to have progressed.

45

Notes:

- a. Objective Status, To Be Recorded at Each Evaluation: If an organ has too many measurable lesions to measure at each evaluation, choose three to be followed before the patient is entered on study. The remaining measurable lesions in that organ will be considered evaluable for the purpose of objective status determination. Unless progression is observed, objective status can only be determined when ALL measurable and evaluable sites and lesions are assessed.
- b. Non-evaluable disease does not affect objective status except in determination of CR (must be absent - a patient who otherwise has a CR, but who has non-evaluable disease present or not assessed, will be classified as having a PR), and in determination of progression (if NEW sites of non-evaluable disease develop). Patients with only non-evaluable disease cannot be assessed for response.
- c. For evaluable disease other than types specified in Section V.A., the only objective statuses which apply are CR, stable/no response, progression and unknown.
- d. Objective statuses must stay the same or improve over time until progression (unknown excepted).
- e. PR and PRNM cannot apply to the same patient.
- f. Best Response: This will be calculated from the sequence of objective statuses.

For patients with all disease sites assessed every three to six weeks, two objective status determinations of CR before progression are required for a best response of CR. Two determinations of PR or better before progression, but not qualifying for a CR, are required for a best response of PR. Two determinations of stable/no response or better before progression, but not qualifying as CR, PR or PRNM, are required for a best response of stable/no response; if the first objective status is unknown, only one such determination is required. Patients with an objective status of progression on or before the second evaluation (second AFTER the pre-study evaluation) will have a best response of increasing disease. Best response is unknown if the patient does not qualify for a best response of increasing disease and if all objective status determinations after the first determination and before progression are unknown.

For patients with disease scheduled to be assessed only at greater than six week intervals, only one assessment of stable/no response or better before progression, but not qualifying for a CR, PR or PRNM is required for a best response of stable/no response. For CR, PR or PRNM response must be confirmed; a second assessment should be scheduled for four weeks after the first documentation of response. Patients with objective status of progression at the first evaluation will have a best response of increasing disease. Best



response is unknown if the patient does not qualify for best response of increasing disease and all objective status determinations before progression are unknown.

- 5 g. Time to Treatment Failure: From date of registration to date of first observation of progressive disease (as defined in 10.1d.5), death due to any cause, or early discontinuation of treatment.

## 10 VI. STUDY DRUG

See Example 5.

A. Pharmacokinetics: See Example 5

15 B. Toxicity: See Example 5

C. Precautions

1. Electrolyte imbalance:

20 a) Potassium - See Example 5. Potassium chloride in divided oral doses of 3-6 gm (40-80 mEq) daily may be given if renal function is adequate. Do not use potassium when severe or complete heart block is due to digitalis.

b) Calcium - See Example 5.

25 c) Magnesium - Hypomagnesemia may predispose to digitalis toxicity. If low magnesium levels are detected, institute replacement therapy.

D. Laboratory Tests:

30 1. Periodic determinations of heart rate, electrolytes (especially potassium and calcium) and ECG will be determined (Table 1) and whenever required in evaluating the patient's condition.

35 2. Serum digitoxin levels: - Serum digitoxin levels will be determined. Samples should be obtained (frough levels) just before the daily dose. The therapeutic concentration is 10-35 ng/mL (13-46 nM). However, the steady-state serum concentration should only be interpreted in the overall clinical evaluation of the patient. Do not use an isolated serum concentration value alone as a basis for  
40 increasing or decreasing the digitoxin dose.

E. Drug Interactions: See Example 5

F. Contraindications: See Example 5

45 1. A previous toxic response to digitalis preparations, ventricular fibrillation, pregnancy, ventricular tachycardia, beriberi heart disease, allergy to digitalis, although rare may occur and some cases of hypersensitive carotid sinus syndrome.

2. Pregnancy: See Example 5.
3. Concomitant medications: See Example 5.

## VII. STUDY PROCEDURES

- 5 A. If anytime during study a tissue sample is obtained, a portion will be stored frozen at  $-70^{\circ}\text{C}$  for possible determination of tissue digitoxin levels and an additional portion stored at  $4^{\circ}\text{C}$  for chemosensitivity testing.
- 10 B. The patient may withdraw from the study at any time for any reason.
- C. All reasons for discontinuation of treatment must be documented in the Flow Sheets.
- 15 D. The development of any evidence of cardiac toxicity will result in a discontinuation of digitoxin. The decision to reduce the dose or discontinue therapy with digitoxin will be made in conjunction with a cardiac consultant if necessary.
- E. Serum digitoxin levels will be obtained just before the daily dose every four weeks or whenever any suggestion of digitalis toxicity.
- 20 F. Other Concomitant Medication: No other concomitant medications should be given except analgesics, chronic treatments for concomitant medical conditions, or agents required for life-threatening medical problems. Before prescribing any concomitant medication, review VI.F. (Drug Interactions).

25

## VIII. STUDY DESIGN and STATISTICAL ANALYSIS

- A. If day 14 or 28 falls on a weekend or holiday, the limit may be extended to the next working day and is considered to be Day 0. Therefore, if a test is done on a Monday, the Monday two weeks later would be considered Day 14.
- 30 B. Patients must be informed of the investigational nature of this study and must sign and give written informed consent in accordance with institutional and federal guidelines. .

## IX. DOSAGE DETERMINATION

- 35 A. Eligible patients initially will be slowly digitalized, with 4 ml (0.2 mg) digitoxin twice daily for 4 days, followed by a maintenance dosage of 1 ml (0.05) to 6 ml (0.3 mg). The initial maintenance dose will be 0.15mg daily. If after four weeks at 3ml (0.15 mg) daily
- 40 there is no evidence of digitalis toxicity (ECG, digitoxin blood level, GI or visual symptoms, the maintenance dose may be increased to 4 ml (0.2 mg) daily. If after four weeks at 4 ml (0.2 mg) daily there is no evidence of digitalis toxicity (ECG, digitoxin blood level, GI or visual symptoms), the maintenance dose may be increased to 5 ml
- 45 (0.25 mg) daily. All patients must start at the 3 ml (0.15 mg) digitoxin dose and may be escalated to the 5 ml (0.25 mg) dose if tolerated in the above schedule.

B. If a patient experiences a Grade 3 or Grade 4 arrhythmia, the digitoxin dose will be withheld. Once resolved, the patient may be restarted at the next lower non cardiotoxic dose.

5

## X. EFFICACY DETERMINATION

- 10 A. **Response Rate:** That proportion of patients who responded to treatment with complete response or partial response.
- B. **Response Duration:** The time from the initial documented response to the first sign of disease progression.
- C. **Time to Progression:** The time from initial administration of study medication to documented disease progression.
- 15 D. **Time to Response:** The time from the first infusion of study medication to the time of initial documented response.
- E. **Survival:** The time from the first dose of study medication until death due to any cause.

20

## XI. LABORATORY STUDIES

A. Pretreatment laboratory studies included a complete blood count, electrolytes, BUN, creatinine, glucose, total protein, albumin, calcium, phosphate, uric acid, alkaline phosphatase, total and direct bilirubin, ALT, AST and amylase.

25

## XII. ADVERSE EVENTS

### A. Definitions:

30

1. Serious adverse event: any experience that is fatal or life-threatening, requires or extends inpatient hospitalization, or is a congenital anomaly, or important medical observation.

35

2. Associated with the use of the drug: there is a reasonable possibility that the experience may have been caused by the drug.

3. Unexpected adverse event: any adverse event that is not identified in nature, severity, or frequency in the current investigators brochure or package insert.

40

4. Grading: Toxicities and their standard grading, based on World Health Organization (WHO) criteria, are given in Appendix III. Adverse events which are not included in the WHO scale will be graded accordingly;

0 = none

1 = mild

2 = moderate

45

3 = severe

4 = life threatening or debilitating

5 = fatal

## B. Reporting:

1. Adverse events will be reported as required by section 312.32 of the Code of Federal Regulations.
2. CellPath, Inc. will be provided with a copy of all serious adverse event reports filed with the FDA.

## XIII. STATISTICAL CONSIDERATIONS

A. All patients will be followed until disease progression or until death.

B. This is a pilot Phase II, non-randomized, single-armed study. The expected accrual rate is 5-6 patients per month. The duration of the study is anticipated to be 12 months; the duration of each subject's participation is likely to be twelve to eighteen months (the approximate duration of time to failure in ovarian cancer patients responding to agents known to be effective). Tumor measurements will be repeated on each patient at three to six week intervals.

C. A response probability of 20% would be of interest, while further testing would not be pursued if the response probability is 5% or lower. Initially, 14 patients will be entered. If at least one response is observed, an additional 11 patients will be entered. 5 or more responses out of 25 will be considered as evidence warranting further study of this regimen provided other factors, such as toxicity and survival also appear favorable. This design has a significance level (probability of falsely declaring an agent with a 5% response probability to warrant further study) of 5%, and a power (probability of correctly declaring an agent with a 20% response probability to warrant further study) of 94%.

True Response Probability	5%	10%	15%	20%
Probability of stopping early	36%	12%	4%	1%
Probability of declaring agent warrants further study	5%	37%	73%	92%

D. 25 patients are insufficient to estimate the probability of a particular toxicity to within  $\pm 0.16$  (40 patients would be required for this). However, any toxicity occurring with at least 10% probability is likely to be seen at least once (87% chance).

E. Kaplan-Meier survival statistics may be performed.

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**APPENDIX I**

Performance Status: Patients will be graded according to the current  
Gynecology Oncology Group grading scale:

5

**GRADE SCALE**

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0 Fully active; able to carry on all pre-disease activities without  
restriction.

1 Restricted in physically strenuous activity but ambulatory and  
able to carry out work of a light or sedentary nature, e.g., light  
housework, office work.

15

2 Ambulatory and capable of all self-care but unable to carry  
out any work activities. Up and about more than 50% of waking  
hours.

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3 Capable of only limited self-care; confined to bed or chair  
more than 50% of waking hours.

4 Completely disabled. Cannot carry on any self-care. Totally  
confined to bed or chair.

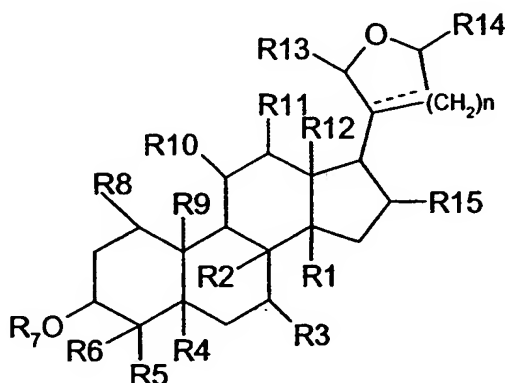
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5 Dead

It is to be understood that the invention is not to be limited to the exact details  
of operation, or to the exact compounds, compositions, methods, procedures or  
embodiments shown and described, as obvious modifications and equivalents will be  
apparent to one skilled in the art, and the invention is therefore to be limited only by the  
full scope of the appended claims.

I claim:

1. A method for treating a susceptible neoplasm which comprises administering an effective amount of a cardiac glycoside, or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof, to a patient in need of such treatment, wherein the tumor is selected from the group consisting of mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.
2. The method of claim 1 wherein the compound comprises the general formula



wherein  $n = 1$  or  $2$ ,  
a dashed line indicates an optional double bond,

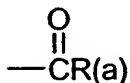
**R2, R4, R5, R6, R12** are selected from the group consisting of  $-H$ ,  $-OH$ ,  $OR'$  or  $COR'$ , where  $R'$  is selected from the group consisting of  $H$ , or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or  $COOR''$ , wherein  $R''$  is selected from the group consisting of  $H$  and optionally substituted alkyl

**R3, R8, R11, R13 and R14** are each selected from the group consisting of  $-H$ ,  $-OH$ ,  $=O$ ,  $OR'$  or  $COR'$ , where  $R'$  is selected from the group consisting of  $H$ , or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or  $COOR'''$ , wherein  $R'''$  is selected from the group consisting of  $H$  and optionally substituted alkyl

or **R2 and R3** together with the carbon atoms to which they are attached form an epoxide ring;

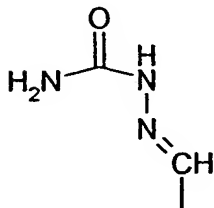
**R7** is  $H$ , or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or

a glycosidic group consisting of one or more optionally substituted sugar residues connected by glycosidic bonds; or



5 where R(a) is selected from the group consisting of H, or an optionally substituted alkyl, alkoxy, or alkene groups,

R9 is selected from the group consisting of optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; OH, H, =O, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, OR' or COR', where R' is selected from the group consisting of H, or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or COOR''', wherein R''' is selected from the group consisting of H and optionally substituted alkyl;



15

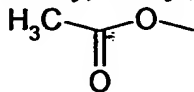
and



R10 is selected from the group consisting of H, OH, COOH, HNC=O, R''''NC=O, and COOR''', wherein R''' represents H or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl group;

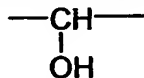
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R15 is selected from the group consisting of H, OH, optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; and



25 R1 is H or OR16, where R16 is H, or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl, or

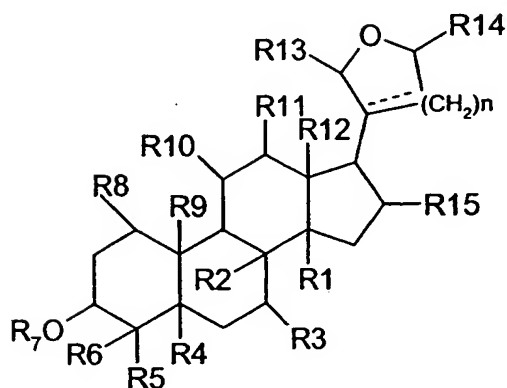
R16 and R9 together represent



30 or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.



3. The method of claim 1 wherein the compound is of the general formula



wherein  $n = 1$  or  $2$ ,  
a dashed line indicates an optional double bond,

**R2, R4, and R8** are selected from the group consisting of  $-H$ ,  $-OH$ , and  $OR'$ , where  $R'$  consists of optionally substituted lower alkyl;

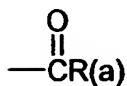
**R3, R13 and R14** are each selected from the group consisting of  $-H$ ,  $-OH$ ,  $=O$ ,  $OR''$ , where  $R''$  consists of optionally substituted lower alkyl;  
or **R2 and R3** together with the carbon atoms to which they are attached form an epoxide ring;

**R11** is selected from the group consisting of  $-H$ ,  $-OH$ , and  $=O$ ;

**R5, R6, and R12** are selected from the group consisting of  $H$ , and optionally substituted alkyl, alkoxy, or alkenyl groups;

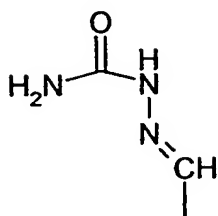
**R7** is  $H$ , or an optionally substituted alkyl, alkoxy, or alkenyl groups, or

a glycosidic group consisting of one or more optionally substituted sugar residues connected by glycosidic bonds; or



where  $R(a)$  consists of alkyl, alkoxy, and alkene groups;

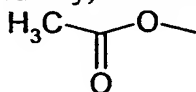
**R9** is selected from the group consisting of  $H$ , or an optionally substituted alkyl, alkoxy and alkenyl groups;  $OH$ ,  $H$ ,  $-N-OH$ ,  $NH-NH_2-CONH_2$ ,



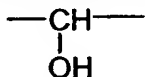
and



- 5 **R10** is selected from the group consisting of H, OH, COOH, HNC=O, R'''NC=O, and COOR''', wherein R''' represents H or an optionally substituted alkyl group;  
**R15** is selected from the group consisting of H, OH, an optionally substituted alkyl, alkoxy, and alkenyl groups; and



- 10 **R1** is H or OR16, where R16 is H, or  
**R16 and R9** together represent



or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

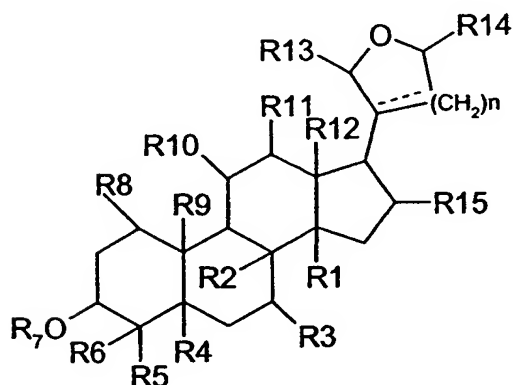
4. The method of claim 1 wherein the compound is selected from the group  
 15 consisting of digitoxin (CP 4071), CP 7079, ouabain (CP 4255), convallatoxin (CP 2575M), oleandrin (CP 6963M), oleandrogenin (CP6963MG), bufalin (CP 1493M), periplocymarin (CP7918), digoxin (CP 4072), strophanthidin oxime (CP 7998), strophanthidin acetate (CP 7992), strophanthidin semicarbazone (CP 7995), strophanthidinic acid lactone acetate (CP 7999), strophanthidin (CP 7997), emicymarin  
 20 (CP 7590), digoxigenin (CP 7501), sarmentoside D (CP 7978), sarverogenin (CP 7985), sarmentoside A (CP7980), gitoxigenin diacetate (CP 7655), ouabagenin (CP 7030M), sarmentogenin (CP 7973), CP 6997, and gitoxigenin (CP 7656);

or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

5. The method of any one of claims 1-4 wherein the carcinoma is selected from the group consisting of ovarian, lung and kidney carcinomas.

6. A pharmaceutical composition comprising an effective amount of a cardiac glycoside for treating a neoplasm, or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof, in a suitable carrier.

7. The pharmaceutical composition of claim 6 wherein the compound comprises the general formula:



wherein  $n = 1$  or  $2$ ,  
a dashed line indicates an optional double bond,

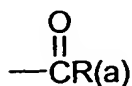
15 **R2, R4, R5, R6, R12** are selected from the group consisting of  $-H$ ,  $-OH$ ,  $OR'$  or  $COR'$ , where  $R'$  is selected from the group consisting of  $H$ , or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or  $COOR''$ , wherein  $R''$  is selected from the group consisting of  $H$  and optionally substituted alkyl

20 **R3, R8, R11, R13 and R14** are each selected from the group consisting of  $-H$ ,  $-OH$ ,  $=O$ ,  $OR'$  or  $COR'$ , where  $R'$  is selected from the group consisting of  $H$ , or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or  $COOR'''$ , wherein  $R'''$  is selected from the group consisting of  $H$  and optionally substituted alkyl

25 or **R2 and R3** together with the carbon atoms to which they are attached form an epoxide ring;

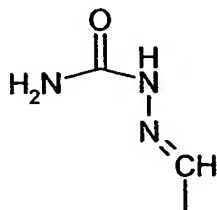
**R7** is  $H$ , or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl, or

a glycosidic group consisting of one or more optionally substituted sugar residues connected by glycosidic bonds; or



where R(a) is selected from the group consisting of H, or an optionally substituted alkyl, alkoxy, or alkene groups,

R<sub>9</sub> is selected from the group consisting of optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; OH, H, =O, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, OR' or COR', where R' is selected from the group consisting of H, or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; or COOR'', wherein R'' is selected from the group consisting of H and optionally substituted alkyl;



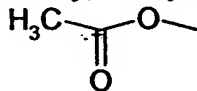
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and



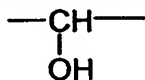
R<sub>10</sub> is selected from the group consisting of H, OH, COOH, HNC=O, R''''NC=O, and COOR''', wherein R''' represents H or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl group;

R<sub>15</sub> is selected from the group consisting of H, OH, optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl; and



R<sub>1</sub> is H or OR<sub>16</sub>, where R<sub>16</sub> is H, or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, or cycloaryl, or

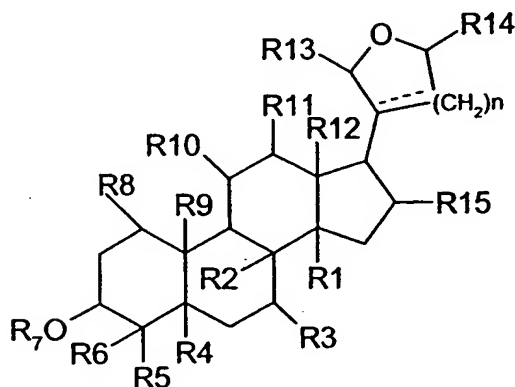
R<sub>16</sub> and R<sub>9</sub> together represent



30

or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

8. The pharmaceutical composition of claim 6 wherein the compound consists of the general formula:



- 5 wherein  $n = 1$  or  $2$ ,  
a dashed line indicates an optional double bond,

**R2, R4, and R8** are selected from the group consisting of  $-H$ ,  $-OH$ , and  $OR'$ , where  $R'$  consists of optionally substituted lower alkyl;

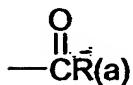
- 10 **R3, R13 and R14** are each selected from the group consisting of  $-H$ ,  $-OH$ ,  $=O$ ,  $OR''$ , where  $R''$  consists of optionally substituted lower alkyl;  
or **R2 and R3** together with the carbon atoms to which they are attached form an epoxide ring;

**R11** is selected from the group consisting of  $-H$ ,  $-OH$ , and  $=O$ ;

- 15 **R5, R6, and R12** are selected from the group consisting of  $H$ , and optionally substituted alkyl, alkoxy, or alkenyl groups;

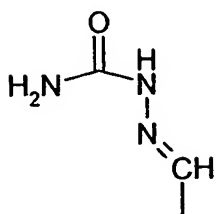
**R7** is  $H$ , or an optionally substituted alkyl, alkoxy, or alkenyl groups, or

- 20 a glycosidic group consisting of one or more optionally substituted sugar residues connected by glycosidic bonds; or



where  $R(a)$  is selected from the group consisting of  $H$ , or an optionally substituted alkyl, alkoxy, or alkene groups;

- 25 **R9** is selected from the group consisting of optionally substituted alkyl, alkoxy and alkenyl groups;  $OH$ ,  $H$ ,  $-N-OH$ ,  $NH-NH_2-CONH_2$ ,

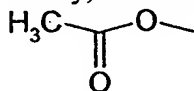


and

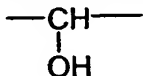


5 **R10** is selected from the group consisting of H, OH, COOH, HNC=O, R'''NC=O, and COOR''', wherein R''' represents H or an optionally substituted alkyl group;

**R15** is selected from the group consisting of H, OH, an optionally substituted alkyl, alkoxy, and alkenyl groups; and



10 **R1** is H or OR16, where R16 is H, or **R16** and **R9** together represent



or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

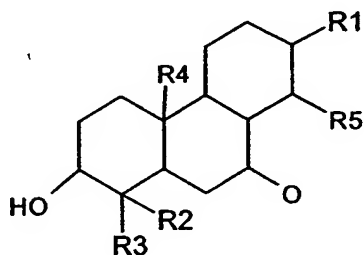
9. The pharmaceutical composition of claim 6, wherein the compound is selected  
 15 from the group consisting of digitoxin (CP 4071), CP 7079, ouabain (CP 4255), convallatoxin (CP 2575M), oleandrin (CP 6963M), oleandrigenin (CP6963MG), bufalin (CP 1493M), periplocymarin (CP7918), digoxin (CP 4072), strophanthidin oxime (CP 7998), strophanthidin acetate (CP 7992), strophanthidin semicarbazone (CP 7995), strophanthidinic acid lactone acetate (CP 7999), strophanthidin (CP 7997),  
 20 emicymarin (CP 7590), digoxigenin (CP 7501), sarmentoside D (CP 7978), sarverogenin (CP 7985), sarmentoside A (CP7980), gitoxigenin diacetate (CP 7655),

ouabagenin (CP 7030M), sarmentogenin (CP 7973), CP 6997, and gitoxigenin (CP 7656);

or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

10. An article of manufacture comprising packaging material and the pharmaceutical composition of any one of claim 6-9 contained within the packaging material.

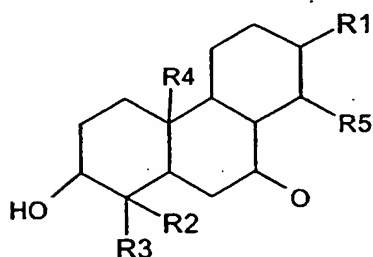
11. A method for treating a susceptible neoplasm which comprises administering to a patient in need thereof an amount effective for treating the neoplasm of a compound which comprises the general formula



wherein R1, R2, R3, R4 and R5 are selected from the group consisting of H, =O, OH, optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, HNC=O, R<sub>8</sub>NC=O, and COOR<sub>8</sub>, wherein R<sub>8</sub> represents H or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl; OR<sub>6</sub> or COR<sub>6</sub>, where R<sub>6</sub> is selected from the group consisting of H, or optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl; or COOR<sub>7</sub>, wherein R<sub>7</sub> is selected from the group consisting of H and optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl,

or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof, wherein the neoplasm is selected from the group consisting of mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.

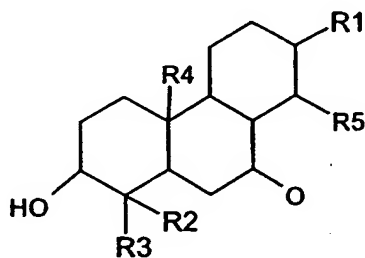
12. The method of claim 11 wherein the compound is of the general formula



wherein R1, R2, R3, R4 and R5 are selected from the group consisting of H, =O, OH, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, and optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl.

13. The method of any one of claims 11-12 wherein the carcinoma is selected from the group consisting of ovarian, lung and kidney carcinomas.

14. A pharmaceutical composition comprising an effective amount for treating a neoplasm of the compound comprising the general formula



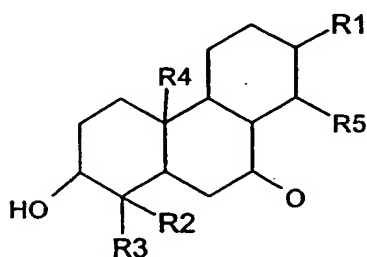
wherein R1, R2, R3, R4 and R5 are selected from the group consisting of H, =O, OH, optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, HNC=O, R<sub>8</sub>NC=O, and COOR<sub>8</sub>, wherein R<sub>8</sub> represents H or an optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl; OR<sub>6</sub> or COR<sub>6</sub>, where R<sub>6</sub> is selected from the group consisting of H, or optionally



substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl; or COOR<sub>7</sub>, wherein R<sub>7</sub> is selected from the group consisting of H and optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl

or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof, in a suitable carrier.

15. The pharmaceutical composition of claim 14 wherein the compound comprises the general formula



wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and R<sub>5</sub> are selected from the group consisting of H, =O, OH, -N-OH, NH-NH<sub>2</sub>-CONH<sub>2</sub>, and optionally substituted alkyl, alkoxy, alkenyl, alkenoyl, aryl, heteroaryl, cycloaryl

or a pharmaceutically acceptable salt, ester, amide, or prodrug thereof.

16. An article of manufacture comprising packaging material and the pharmaceutical composition of any one of claims 14-15 contained within the packaging material.

1 / 2

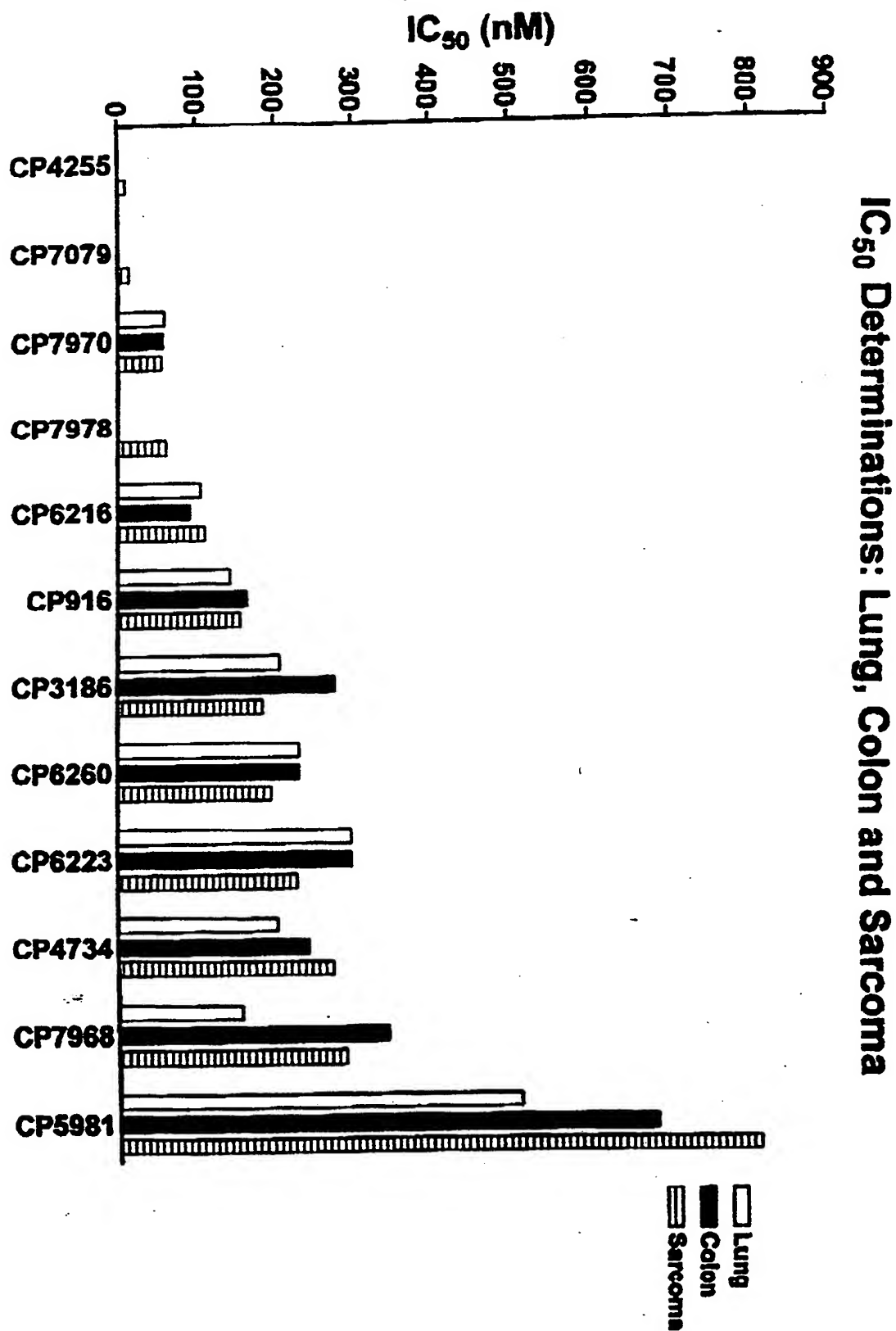


FIG. 1

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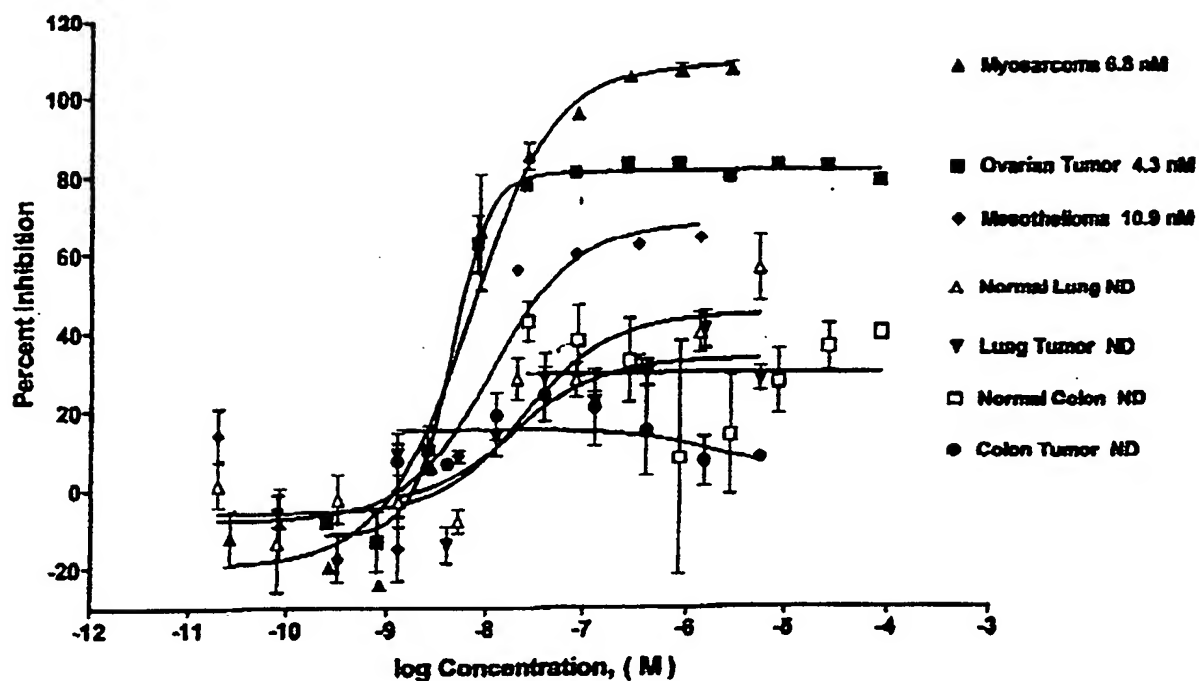
**CP7079**

FIG. 2

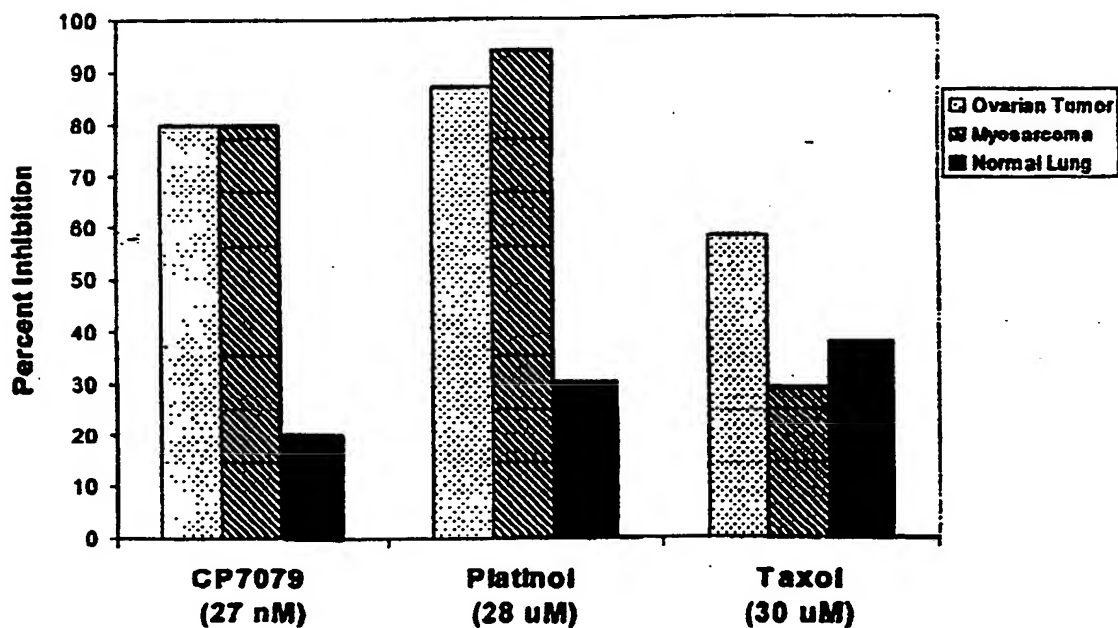
**CP7079 vs. Platinol and Taxol**

FIG. 3



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(72) Inventor; and

(75) Inventor/Applicant (for US only): BRAUDE, Irwin  
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(84) Designated States (regional): ARIPO patent (GH, GM,  
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(AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent  
(AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU,  
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ning of each regular issue of the PCT Gazette.



WO 00/47215 A3

(54) Title: METHODS FOR ANTI-TUMOR THERAPY

(57) Abstract: The present invention provides novel methods, pharmaceutical compositions, and articles of manufacture for treating tumors that involve administering an effective amount of a cardiac glycoside, or a pharmaceutical salt thereof, wherein the tumor is selected from the group consisting of mesotheliomas, sarcomas, carcinomas, stromal cell, and germ cell tumors.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/03564

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/70 A61K31/122 A61P35/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, MEDLINE, BIOSIS, EMBASE, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 545 623 A (MATSUMORI AKIRA) 13 August 1996 (1996-08-13) abstract column 4, line 12 - line 17 column 4, line 37 - line 43 column 4, line 61 - line 63	1-4, 6-10
X	WO 97 28808 A (SCRIPPS RESEARCH INST) 14 August 1997 (1997-08-14) page 2, line 8 - line 26 page 12, line 34 - page 13, line 5 page 13, line 16 - line 18  -/-	1-10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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Date of mailing of the international search report

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# INTERNATIONAL SEARCH REPORT

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PCT/US 00/03564

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KANEDA N ET AL: "Cytotoxic activity of cardenolides from Beaumontia breviflora" PLANTA MEDICA, vol. 58, 1992, pages 429-431, XP000915557 Stuttgart abstract page 429, right-hand column, line 36 - line 39 page 430, left-hand column, line 6 - line 23 page 430, right-hand column, line 25 -page 431, left-hand column, line 2 table 1	1-10
X	KITANAKA S ET AL: "Cytotoxic cardenolides from woods of euonymus alata" CHEM PHARM BULL, vol. 44, 1996, pages 615-617, XP000910197 Chart I abstract page 615, right-hand column, line 29 -page 616, left-hand column, line 4 table 2	1-10
X	UMEHARA K ET AL: "Studies on differentiation inducers. V. Steroid glycosides from periplocae radice cortex" CHEM PHARM BULL, vol. 43, no. 9, 1995, pages 1565-1568, XP000910196 abstract table 2 page 1566, right-hand column, line 1 -page 1567, left-hand column, line 4 page 1567, left-hand column, line 20 -right-hand column, line 3	1-10
X	RABEN RH AND WASSERMANN O: "A New, Alkylating Derivative of Cassaine" ARZNEIM. FORSCH., vol. 24, no. 6, 1974, pages 956-957, XP000918746 page 957, left-hand column, line 4 - line 10 formula IV page 957, left-hand column, line 18 - line 21	11-16
	-/-	

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/03564

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>REPKE K R H ET AL: "POTENTIAL SUITABILITY OF NA+/K+-TRANSPORTING ATPASE IN PRE-SCREENS FOR ANTI-CANCER AGENTS" ANTI-CANCER DRUG DESIGN, GB, BASINGSTOKE, vol. 10, 1995, pages 177-187, XP000915593 ISSN: 0266-9536 abstract figure 1; table I page 183, line 31 -page 184, line 4</p>	1-16
Y	<p>TOBIN T ET AL: "Interaction of ouabain and cassaine with Na+ + K+ -ATPase and its relationships to their inotropic actions" DRUG ACTION MOL. LEVEL, 1977, pages 213-233, XP000918648 page 224, line 23 figures 12.14, 12.17, 12.18 page 229, line 9 - line 14</p>	1-16



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 00/03564

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☒ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

**1. Claims: 1-10**

Compositions and use of cardiac glycosides as antitumour agents

**2. Claims: 11-16**

Compositions and use of perhydrophenanthrene derivatives as antitumour agents

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/03564

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5545623	A	13-08-1996	JP 8099894 A	16-04-1996
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			US 6071885 A	06-06-2000
			US 6107283 A	22-08-2000

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